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Form +990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

Department of the Treasury Internal Revenue Service

Ford	alen	dar year 2011 or tax year beginning SEP	1, 2011	, and ending	AUG 31, 2012	
Nan	ne of	foundation			A Employer identification	number
Α	NN	A. MERGENS_FOUNDATION,	INC	_	27-4113304	·
Nun	ber a	nd street (or P O box number if mail is not delivered to street	address)	Room/suite	B Telephone number	
_P	.0	BOX 662	802 863-59	33		
City	or to	wn, state, and ZIP code			C If exemption application is p	ending, check here
_ <u>S</u>	HE:	LBURNE, VT 05482				
G C	heck	all that apply: X Initial return	Initial return of a fo	rmer public charity	D 1. Foreign organizations	s, check here
		Final return	Amended return		Foreign organizations me	peting the 85% test.
		Address change	Name change		Foreign organizations me 2 check here and attach co	omputation
H_C	_	type of organization: X Section 501(c)(3) ex			E If private foundation sta	1 1
			Other taxable private founda	 -	under section 507(b)(1)	•
		rket value of all assets at end of year J Account		Accrual	F If the foundation is in a	
		Part II, col. (c), line 16)	ther (specify)	nacre l	under section 507(b)(1)	(B), check here
Do		Analysis of Revenue and Expenses			() Ad 4 - 4	(d) Disbursements
Pa	IT 1	(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received	1,005,000.	<u> </u>	N/A	•
	2	Check If the foundation is not required to attach Sch. B	-		, ,	
	3	Interest on savings and temporary cash investments	33.	33.		STATEMENT 1
	4	Dividends and interest from securities	10,933.	10,933.		STATEMENT 2
	5a	Gross rents			<u> </u>	
	b	Net rental income or (loss)				
<u>a</u>	6a	Net gain or (loss) from sale of assets not on line 10 Gross sales price for all 7 0 4 1 7	-3,971.			
Revenue	b	assets on line 6a		·····	RECE	WED
Rev		Capital gain net income (from Part IV, line 2)		0.		18
_	8	Net short-term capital gain				1 2013
	9	Income modifications Gross sales less returns			A JAIN I	1 2013
	10a			<u> </u>		117
1	1	Gross profit or (loss)			- OGDE	N, U1
	11	Other income				
-	12	Total. Add lines 1 through 11	1,011,995.	10,966.		<u> </u>
	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
9	14	Other employee salaries and wages				
201°s	15	Pension plans, employee benefits				
S	l	Legal fees				
ens	b	Accounting fees STMT 3	4,174.	2,087.		0.
Š	C	Other professional fees STMT 4	1,071.	1,071.		0.
Š	17	Interest		<u></u>		
rati	18	Taxes				
nist	19	Depreciation and depletion				ļ
Ē	20	Occupancy			ļ	
3 and Administrative Expenses	21	Travel, conferences, and meetings				
anc	22	Printing and publications	4 450	4.0	<u> </u>	
Operating	23	Other expenses STMT 5	1,152.	10.		0.
rat	24	Total operating and administrative	6 207	2 160		0.
o O	05	expenses. Add lines 13 through 23	6,397.	3,168.		0.
_		Contributions, gifts, grants paid			 	
	26	Total expenses and disbursements. Add lines 24 and 25	6,397.	3,168		0.
	27	Subtract line 26 from line 12:	0,331.	3,100		
	1	Excess of revenue over expenses and disbursements	1,005,598.			
		Net investment income (if negative, enter -0-)		7,798	-	
		Adjusted net income (if negative, enter -0-)	-		N/A	
1235		LUA For Panaguark Reduction Act Notice see	instructions			Form 990-PF (2011)

For	m ye	0-PF(2011) ANN A. MERGENS FOUNDATI			4113304 Page 2
P	art	Balance Sheets Attached schedules and amounts in the description	Beginning of year	End o	
<u>:</u>	<u> </u>	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	·	48,673.	48,673.
	ر ا	Savings and temporary cash investments		23,461.	23,461.
	ı	Accounts receivable ►	,		· · · · · ·
	ľ	Less: allowance for doubtful accounts		`	
	١.	··· -	 		
	4	Pledges receivable	- · · ·	· ' · - ·	
		Less; allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			
	7	Other notes and loans receivable			
	′	Less; allowance for doubtful accounts	- 'l	·	
	_	· · · · · · · · · · · · · · · · · · ·			
Assets	1 -	Inventories for sale or use		 	
SS	ı	Prepaid expenses and deferred charges			
•	10a	Investments - U.S. and state government obligations			
	Ь	Investments - corporate stock			
	l c	Investments - corporate bonds	Ĭ		
	1	Investments - land, buildings, and equipment, basis	,		
	l . ,	Less accumulated depreciation	1	١.	· ~
	40		1		******
	1	Investments - mortgage loans		022 464	044 450
	13	Investments - other STMT 6	0.	933,464.	944,459.
	14	Land, buildings, and equipment basis	4 * ' -	•	V
		Less accumulated depreciation	·		
	15	Other assets (describe			
					,
	16	Total assets (to be completed by all filers)	0.	1,005,598.	1,016,593.
		Accounts payable and accrued expenses			
		· · ·			,
	18	Grants payable			
Liabilities	19	Deferred revenue			•
Ħ	20	Loans from officers, directors, trustees, and other disqualified persons			-
iab	21	Mortgages and other notes payable			• •
_	22	Other liabilities (describe >)			·
			1		
	23	Total liabilities (add lines 17 through 22)	0.	0.	
_		Foundations that follow SFAS 117, check here			,
		and complete lines 24 through 26 and lines 30 and 31.			
es	24	Unrestricted			* * * * * * * * * * * * * * * * * * * *
or Fund Balances	24	•		•	
ala	25	Temporarily restricted			
8	26	Permanently restricted		····	
Ĕ		Foundations that do not follow SFAS 117, check here	1		- 1
ī		and complete lines 27 through 31.			
S O	27	Capital stock, trust principal, or current funds	0.	0.	·
set	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.1	0.	
Net Assets	29	Retained earnings, accumulated income, endowment, or other funds	0.	1,005,598.	***
ē	30	Total net assets or fund balances	0.	1,005,598.	
Z	"	Total not abboto of tand bullings		2,000,000	
		Tabel Pak 'Ph' as and and anada Mund halanaa	0.	1,005,598.	- "; .
_	31	Total liabilities and net assets/fund balances		1,005,556.	l
P	art	III Analysis of Changes in Net Assets or Fund	Balances		
1	Tota	I net assets or fund balances at beginning of year - Part II, column (a), lin	e 30		
	(mu	st agree with end-of-year figure reported on prior year's return)		1	0.
2	Ente	r amount from Part I, line 27a		2	1,005,598.
		r increases not included in line 2 (itemize)		3	0.
		lines 1, 2, and 3		4	1,005,598.
		reases not included in line 2 (itemize)		5	0.
		I net assets or fund balances at end of year (line 4 minus line 5) - Part II,	column (h) line 30	6	1,005,598.
0	TUL	i net assets di lunu valances at enu di year (inte 4 millus line 3) - Part II,	committely mic so		Form 990-PF (2011)
					rom: 330-f F (2011)

Form 990-PF (2011) ANN A. MERGENS FOUNDATION, INC								27	<u>-4113</u>	3304	Page 3
Part IV Capital Gains and Losses for Tax on Investment Income										 	
(a) List and descr 2-story brick wa	ribe the kind(s) of pro rehouse; or common	perty sold (e.g., real stock, 200 shs. ML(estate, C Co.)		(b)	How ac - Purch - Dona	quired nase ition	c) Date acc (mo., day		(d) Dat (mo., da	
1a		-									
b SEE ATTACHED	STATEMENT	<u> </u>									
C											
d					1	•					
е					1						
(e) Gross sales price	(f) Depreciation (or allowal			t or other basis xpense of sale	(h) Gain or (loss) (e) plus (f) minus (g)						
	· · · · · · · · · · · · · · · · · · ·	·									
<u>a</u>				 -							
b	· · · · · · · · · · · · · · · · · · ·					-					
C	· · · · · · · · · · · · · · · · · · ·										
d 70 417				74 20							,971.
e 70,417.				74,38	0.			0 (0.1	4.3		<u>, </u>
Complete only for assets showin								Gains (Col. (k), but not			
(i) F.M.V. as of 12/31/69	(j) Adjusted as of 12/3		(k) Ex over	cess of col. (ı) col. (ı), ıf any				Losses (fr			
a											
b											
C											
d									-		
e	······									- 3	,971.
	C 4				7						12.20
2 Capital gain net income or (net ca	pital loss) { If	gain, also enter in Pa (loss), enter -0- in Pa	art I, line art I, line	7 7	}	2				-3	<u>,971.</u>
3 Net short-term capital gain or (los	ss) as defined in secti	ons 1222(5) and (6)	:		`						
If gain, also enter in Part I, line 8,	column (c).	,,,,,,			\ \						
If (loss), enter -0- in Part I, line 8						3			N/A		
Part V Qualification U	nder Section 4	1940(e) for Re	duced	Tax on Net	t Inv	estm	ent Inc	ome			
(For optional use by domestic private	foundations subject	to the section 4940(a) tax on	net investment ii	ncom	e.)				N/A	
(,	•	`	,			•				·	
If section 4940(d)(2) applies, leave the	his part blank.										
Was the foundation liable for the sec	tion 4042 tay on the c	hetrihutahla amount	of any ve	ar in the hace ne	riod2					Yes	□No
If "Yes," the foundation does not qual					· iou ·						
1 Enter the appropriate amount in					es						
(a)	cacii columni for each		13 061016	making any chu				T.		(d)	
Base period years Calendar year (or tax year beginnii	ng in) Adjuste	(b) d qualifying distribut	ions	Net value of no	(c) oncha		use assets	(с	Distrib ol. (b) divi	(d) ution ratio ded by col.	(c))
2010											
2009											
2008											
2007											
2006									,		_
2000				<u></u>		-		1			
2. Total of line 1 column (d)								2			
2 Total of line 1, column (d) 2 Average distribution ratio for the	E year base seried	duuda tha tatal an li-	0 2 hu E	or by the number	r of w	2250		-			
3 Average distribution ratio for the			t ∠ UY 5,	or by the numbe	iuiyi	5415					
the foundation has been in existe	nce if less than 5 year	rs						3			
4 Enter the net value of noncharitat	ole-use assets for 201	11 from Part X, line 5	5					4			
E. Multiply line A by line 2								5			
5 Multiply line 4 by line 3											
6 Enter 1% of net investment incor	ne (1% of Part I, line	27b)						6			
7 Add lines 5 and 6								7			····
8 Enter qualifying distributions from	m Part XII, line 4	•						8			
If line 8 is equal to or greater than		x in Part VI. line 1b.:	and com	olete that part usi	ng a 1	1% tax	rate.				
See the Part VI instructions.	,	,									
123521 12-02-11									F	orm 990 -	PF (2011)

	1 990-PF (2011) ANN A. MERGENS FOUNDATION, INC Int VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e),			4113 - see ir		ction	age 4
	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.			Ι			
14	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)						
h	Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%		1			1	56.
J	of Part I, line 27b				-		`
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, coi. (b).				ŧ		,
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2				0.
	Add lines 1 and 2		3			1	56.
-	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4				0.
	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5			1	56.
	Credits/Payments:				<i>i</i> .		
	2011 estimated tax payments and 2010 overpayment credited to 2011 6a 6a				. 1	٠	*
	Exempt foreign organizations - tax withheld at source 6b			İ	· . ,		-
	Tax paid with application for extension of time to file (Form 8868) 6c			, ,		1.	
	Backup withholding erroneously withheld 6d 6d						
	Total credits and payments. Add lines 6a through 6d		7	l		-	0.
	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached		8				
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	▶ -	9			1	56.
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10				<u></u>
	Enter the amount of line 10 to be: Credited to 2012 estimated tax		11				
	art VII-A Statements Regarding Activities		•••	<u>'</u>		-	
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or influence any national state, or local legislation or did it participate or influence any national state, or local legislation or did it participate or influence any national state, or local legislation or did it participate or influence any national state, or local legislation or did it participate or influence any national state, or local legislation or did it participate or influence any national state.	ervene in				Yes	No
	any political campaign?			İ	1a		X
h	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definit	ĺ	1b		X		
Ī	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials pi		or	Ì			
	distributed by the foundation in connection with the activities.						
c	Did the foundation file Form 1120-POL for this year?			İ	1c		X
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			l			
٠	(1) On the foundation. ▶ \$ 0 . (2) On foundation managers. ▶ \$	0.					1
A	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation						
·	managers. ►\$ 0.				_		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?				2		X
-	If "Yes." attach a detailed description of the activities.						
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorpor	ation, or			-	:	-
Ū	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	,			3		X
42	Did the foundation have unrelated business gross income of \$1,000 or more during the year?				4a		X
	of Yes," has it filed a tax return on Form 990-T for this year?		N	I/A	4b		
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?				5		X
•	If "Yes," attach the statement required by General Instruction T.						•
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:					٠.	
٠	By language in the governing instrument, or						
	 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with t 	he state la	w				
	remain in the governing instrument?				6	Х	
7	Did the foundation have at least \$5,000 in assets at any time during the year?				7	Х	
•	If "Yes," complete Part II, col. (c), and Part XV.					-	
Яa	Enter the states to which the foundation reports or with which it is registered (see instructions)					-	
Ju	VT				-		[· ` ·
h	of the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)				l.` _		. 1
•	of each state as required by General Instruction G? If "No," attach explanation				8b	X	`
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5)	for calend	lar				_
•	year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV				9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresse	s ST	<u>'M'</u>	7	10	Х	
					~ QQ(-PF	2011)

orm	990-PF (2011) ANN A. MERGENS FOUNDATION, INC 27-411	3304		Page 5
	irt VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		x
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		_X_
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	_X_	
	Website address ► N/A			
14	The books are in care of ► MARY MERGENS-LOUGHRAN Telephone no. ►802 8			
	Located at ▶P.O. BOX 662, SHELBURNE, VT ZIP+4 ▶0!	5482		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		. , ,	· 🔲
	and enter the amount of tax-exempt interest received or accrued during the year	1	/A Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank,	1 46	res	X
	securities, or other financial account in a foreign country?	16	1 .	
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country		-	, ,
Pa	irt VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
•	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	T -;	Yes	No
1a	During the year did the foundation (either directly or indirectly):	—		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person? Yes X No	-		- ',
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	,	-	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	-		-
	(5) Transfer any income or assets to a disqualified person (or make any of either available	~ ÷		,
	for the benefit or use of a disqualified person)?		ľ	,
	(6) Agree to pay money or property to a government official? (Exception. Check "No"	Ϊ.		
	if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes X No	-		
_	termination of government service, if terminating within 90 days.) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations		,	
0	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	16		
	Organizations relying on a current notice regarding disaster assistance check here	1		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected	٠ .	-	,
·	before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	-		
	defined in section 4942(j)(3) or 4942(j)(5));	-	1	2 ,
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning	, -	· .	
	before 2011? Yes X No	ļ,`	١	, ,
	If "Yes," list the years >			
t	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			-
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b		
	statement - see instructions.) If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	20	 	
,	The provisions of section 4942(a)(2) are being applied to any of the years instead in Ea, instance years note:	-		1 12
3:	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time		2.	
•	during the year?	,		1.75
t	of "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after		-	'''
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose		1:5-	
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,	,	1	-
	Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	-	
	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	-	X
١	b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	, ,,,	1	v
_	had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	n_PF	X (2011)

123541 12-02-11

orm 990-PF (2011) ANN A. MERGENS FOUNDATIO	N, INC		<u> 27-41133</u>	04 Page 6		
Part VII-B Statements Regarding Activities for Which F	orm 4720 May Be F	Required (contin	ued)			
5a During the year did the foundation pay or incur any amount to:						
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	4945(e))?	☐ Y€	s X No	- .		
(2) Influence the outcome of any specific public election (see section 4955); o				-		
any voter registration drive?			s X No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?						
(4) Provide a grant to an organization other than a charitable, etc., organization						
509(a)(1), (2), or (3), or section 4940(d)(2)?	T GGGGTIEGE IIT GGGGGT	[] Ye	s X No			
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational nurnoses or f					
the prevention of cruelty to children or animals?	or caucational purposes, or t		es X No	- -		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	lar the exceptions described i		.3 (22) (10)			
section 53.4945 or in a current notice regarding disaster assistance (see instru		ii negulations	N/A	5 b		
,	•			30		
Organizations relying on a current notice regarding disaster assistance check h		inod		7 .		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr		ſ/A ∐ Ye	es No			
expenditure responsibility for the grant?		I/A16	8 L NO	' []		
If "Yes," attach the statement required by Regulations section 53.4945				-		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	oay premiums on		es X No			
a personal benefit contract?		Y6	1			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?			6b X		
If "Yes" to 6b, file Form 8870.			(15)			
7a At any time during the tax year, was the foundation a party to a prohibited tax s		Ye	es X No			
b If Yes, did the foundation receive any proceeds or have any net income attribu				7b		
Part VIII Information About Officers, Directors, Trust	ees, Foundation Ma	inagers, Higniy	y			
List all officers, directors, trustees, foundation managers and their	nompopeation					
List all officers, directors, dustees, foundation managers and their		(c) Compensation	(d) Contributions to	(e) Expense		
(a) Name and address	(b) Title, and average hours per week devoted	(If not paid,	employee benefit plans and deferred	account, other		
	to position	enter -0-)	compensation	allowances		
· · · · · · · · · · · · · · · · · · ·	PRESIDENT & T	REASURER				
P.O. BOX 662	4 00					
SHELBURNE, VT 05482	4.00	0.	0.	0.		
	VICE PRESIDEN	l.T.				
P.O. BOX 662						
SHELBURNE, VT 05468	2.00	0.	0.	0.		
	SECRETARY					
P.O. BOX 662						
SHELBURNE, VT 05482	1.00	0.	0.	0.		
		<u> </u>	<u> </u>			
2 Compensation of five highest-paid employees (other than those inc		enter "NONE."	(d) Contributions to	(-) Evpoppo		
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other		
	devoted to position		compensation	allowances		
NONE						
	- · · ·	ļ				
		1				
		ļ 				
			 			
		<u> </u>				
	l	<u> </u>	L	<u> </u>		
Total number of other employees paid over \$50,000			>	0		
			Form	990-PF (2011)		

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	Form 990-PF (2011)
Total, Add lines 1 through 3	<u> </u>
All other program-related investments. See instructions. 3	·
All all and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second and a second a second and	
2	
	-
1 <u>N/A</u>	_
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
Part IX-B Summary of Program-Related Investments	
1	_
3	
2	
1 N/A	
number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. 1 N/A	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the	Expenses
Part IX-A Summary of Direct Charitable Activities	
Total number of others receiving over \$50,000 for professional services	▶ 0
NONE	
NONE	(C) Compensation
3 Five highest-paid independent contractors for professional services. If none, enter "NONE." (a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
Information About Officers Directors Trustoes Foundation Managers Highly	7-4113304 Page 7
1000 3500 CC 12000 A PARIS A MINISTRATIONAL PARAMETER LANG. 1 100 L	

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P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations	s, see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities	1a	951,113.
ь	Average of monthly cash balances	1b	951,113. 28,373.
	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	979,486.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	979,486.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,692.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	964,794.
6	Minimum investment return. Enter 5% of line 5	6	48,240.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here and do not complete this part.)	id certain	
1	Minimum investment return from Part X, line 6	1	48,240.
2a	Tax on investment income for 2011 from Part VI, line 5		
b	Income tax for 2011. (This does not include the tax from Part VI.)	i	
C	Add lines 2a and 2b	2c	156.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	48,084.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	48,084.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	48,084.
P	art XII Qualifying Distributions (see instructions)	···	
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	0.
þ	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	<u></u>
3	Amounts set aside for specific charitable projects that satisfy the:		
	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	0.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	0.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section

Form **990-PF** (2011)

4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7		,		48,084.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	·
b Total for prior years:			-	•
· · · · · · · · · · · · · · · · · · ·		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007			-	
c From 2008				
d From 2009				, , , , , , , , , , , , , , , , , , ,
e From 2010 f Total of lines 3a through e	0.	u.		
4 Qualifying distributions for 2011 from				
Part XII, line 4: ►\$ 0.	' -	•		
a Applied to 2010, but not more than line 2a	. ,		0.	,
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				`
(Election required - see instructions)	0.	y		
d Applied to 2011 distributable amount				0.
e Remaining amount distributed out of corpus	0.	,	,	
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount	0.			0.
must be shown in column (a)) 6 Enter the net total of each column as indicated below:				
	0.		,	
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		-
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.	,	. •
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		-
e Undistributed income for 2010. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract	,			
lines 4d and 5 from line 1. This amount must		,		
be distributed in 2012			<u> </u>	48,084.
7 Amounts treated as distributions out of	Ì	-	•	· .
corpus to satisfy requirements imposed by		-	,	-
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006				· ,
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012.	0.	,		, .
Subtract lines 7 and 8 from line 6a	<u></u>	•		
10 Analysis of line 9: a Excess from 2007	,	•		
b Excess from 2008	1	,		
c Excess from 2009	1 .		,	
d Excess from 2010	1 .			
e Excess from 2011	1 . '	-		
\$ 2,0000 it offi Ed [1]			· · · · · · · · · · · · · · · · · · ·	Form 990-PF (2011)

Form 990-PF (2011) ANN A.	MERGENS FO	JNDATION, II			13304 Page 10
Part XIV Private Operating Fo	oundations (see II	nstructions and Part V	I-A, question 9)	N/A	· · · · · · · · · · · · · · · · · · ·
1 a If the foundation has received a ruling or	determination letter tha	at it is a private operating			
foundation, and the ruling is effective for		-	>		
b Check box to indicate whether the found	ation is a private operat	ing foundation described		4942(j)(3) or 4	942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					· · · · · · · · · · · · · · · · · · ·
for active conduct of exempt activities.					
Subtract line 2d from line 2c				İ	
3 Complete 3a, b, or c for the					
alternative test relied upon:				-	
a "Assets" alternative test - enter: (1) Value of all assets					
` <i>'</i>					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter		<u> </u>			
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Infor	mation (Comple	ete this part only	if the foundation	n had \$5,000 or me	ore in assets
at any time during the	ne year-see inst	ructions.)		, , , , , , , , , , , , , , , , , , ,	
1 Information Regarding Foundation	Managers:	·····			
a List any managers of the foundation who		than 2% of the total con-	tributions received by th	e foundation before the clo	se of any tay
year (but only if they have contributed m	ore than \$5,000). (See	section 507(d)(2).)	and discussions received by the	c loandation before the Go	Se of any tax
ANN A. MERGENS					
b List any managers of the foundation who	own 10% or more of t	ne stock of a corporation	or an equally large port	ion of the ownership of a n	artnership or
other entity) of which the foundation has	a 10% or greater intere	st.	(or all equally large port	ion of the ownership of a p	armership or
NONE					
2 Information Regarding Contribution	on Grant Gift Loan	Scholarchin etc. Pr	rograms:		
				not accept unsolicited requ	iacte for funde. If
the foundation makes gifts, grants, etc. (see instructions) to indi	viduals or organizations i	inder other conditions, a	complete items 2a, b, c, and	16212 101 101102. 11
a The name, address, and telephone numb		·		22, 3, 3, 2	
a mo namo, address, and telephone name	or or the person to who	an applications should be	addicosca.		
SEE STATEMENT 8					
b The form in which applications should be	submitted and informa	ation and materials they s	hould include:		
c Any submission deadlines:					
. ,					
d Any restrictions or limitations on awards	such as by geographic	al areas, charitable fields	kinds of institutions or	other factors:	
2 Tooking of minations on awards	,, ao o, goog.apino	a. a. vaoj vriai imbio ii liub	,ao or montanons, ur	omai idotoro,	

Total

Form **990-PF** (2011)

▶ 3b

Analysis of Income-Producing Activities Part XVI-A

Enter gross amounts unless otherwise indicated.	Unrelate	d business income		ded by section 512, 513, or 514	(e)
•	(a) Business	(b) Amount	(C) Exclu- sion code	(d) Amount	Related or exempt function income
1 Program service revenue:	code		COGE		
a	 				
	 	-			
c					
d					
e	-				
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash		•			
investments			14	33.	
4 Dividends and interest from securities	ļ		14	10,933.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property			-		
b Not debt-financed property			-		
6 Net rental income or (loss) from personal					
property			-		
7 Other investment income					
8 Gain or (loss) from sales of assets other			18	-3,971.	
than inventory			1 0	-5,511.	
9 Net income or (loss) from special events10 Gross profit or (loss) from sales of inventory	 				
11 Other revenue:			 		
ab					
c					
d	1 1				
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		6,995.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	6,995.
(See worksheet in line 13 instructions to verify calculations.)					
Part XVI-B Relationship of Activities	to the Acc	omplishment of Ex	emp	t Purposes	
Line No Explain below how each activity for which inco	me is renorted	in column (e) of Part XVI-A	contrit	outed importantly to the accord	nolishment of
the foundation's exempt purposes (other than					
N/A					
				· ·	
				 	
		 			
					<u> </u>
		 			
					
					 · · · · · · · · · · · · · · · · · ·
	····				
					
123621					Form 990-PF (2011)

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Part		. MERGENS FO			und Relationships With None	113304 Charitable		3_
<u> </u>	Exempt Organ		o ro ana ma		The field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the field to the f	, idi itabic	•	
1 Did			following with any	other organization	on described in section 501(c) of		Yes No)
	Code (other than section 501(c)						-	_
	nsfers from the reporting founda	•	-			1 1	. 1	
(1)	Cash					1a(1)	X	
(2)	1a(2)	X						
b Oth	er transactions:							
(1)	Sales of assets to a noncharital	ble exempt organization				16(1)	X	
(2)	Purchases of assets from a nor	ncharitable exempt organiz	zation			1b(2)	X	
(3)	Rental of facilities, equipment,	or other assets				1b(3)	X	
(4)	Reimbursement arrangements					1b(4)	X	
(5)	Loans or loan guarantees					1b(5)	_ X	
(6)	Performance of services or me	mbership or fundraising so	olicitations			1b(6)	X	
c Sha	iring of facilities, equipment, ma	iling lists, other assets, or	paid employees			1c_	X	
	umn (d) the value of the goods,		ceived.		e in any transaction or sharing arrangem (d) Description of transfers, transactions		angements	_
		Ŋ	I/A					
								_
								
								
								_
					<u> </u>			
								_
								_
				<u> </u>				_
								_
					 			_
		·			 			_
					 			_
					 			_
20 let	he foundation directly or indirect	the affiliated with or related	I to one or more to	v-avamnt organi	zatione described			_
	section 501(c) of the Code (other	•	•	an chompt organi	Editorio doscribod	Yes	X No	,
	es,* complete the following sch	, , , , , , , , , , , , , , , , , , , ,			•	L 103	140	
	(a) Name of org		(b) Type	of organization	(c) Description of rela	tionship		-
	N/A		1,,,,,	·	<u> </u>			_

(a) Name of organization	(b) Type of organization	(c) Description of relationship		
N/A				

May the IRS discuss this return with the preparer shown below (see instr)? and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge Sign Here X Yes PRESIDENT Signature of officer or trustee Preparer's signature Date Title Print/Type preparer's name Check Date self- employed SANDRA K. ENMAN CPA Paid P00096212

Preparer | Firm's name ► ENMAN & ASSOCIATES PC Use Only

Firm's EIN ► 03-0359626

Phone no.

Firm's address ► 147 KNIGHT LN STE 200
WILLISTON, VT 05495-9388

Form **990-PF** (2011)

802-878-7156

Schedule B (Form 990, 990-EZ,

or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

➤ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Employer identification number Name of the organization 27-4113304 ANN A. MERGENS FOUNDATION, INC Organization type (check one): Filers of: Section: 501(c)(Form 990 or 990-EZ) (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization Form 990-PF X 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions. **General Rule** X For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. **Special Rules** For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

ANN A. MERGENS FOUNDATION, INC

27-4113304

Part __ I	Contributors (see instructions). Use duplicate copies of Part I if	additional space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARY MERGENS-LOUGHRAN P.O. BOX 662 SHELBURNE, VT 05482	\$5,000.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	ANN A. MERGENS P.O. BOX 662 SHELBURNE, VT 05482	\$ <u>1,000,000</u> .	Person X Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)

Employer identification number

ANN A. MERGENS FOUNDATION, INC

27-4113304

Part II	Noncash Property (see instructions) Use duplicate copies of P	art II if additional space is needed.	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
- - -		\$	1
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
— - -			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
- - -			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
 -		 	
(a) No. from	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u> </u>	2	Sabadula B (Form)	 990, 990-EZ, or 990-PF) (

MERG3301

Employer identification number

<u>ANN A.</u> Part III	MERGENS FOUNDATION, IN Exclusively religious, charitable, etc., individuals, complete columns (a) through (e) and the	ual contributions to section 501(c)((7), (8), or (10) organizations completing Part III, enter	27-4113304 ons that total more than \$1,000 for the			
	the total of exclusively religious, charitable, etc.,	contributions of \$1,000 or less for t	he year. (Enter this information onco	s) ► \$			
(a) No. from Part I	Use duplicate copies of Part III if additional (b) Purpose of gift	(c) Use of gift	(d) Desc	(d) Description of how gift is held			
-							
-		(e) Transfer of gift					
	Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee						
(a) No.							
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Desc	cription of how gift is held			
-		(e) Transfer of gift					
	Transferee's name, address, and			ansferor to transferee			
-							
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Desc	cription of how gift is held			
		(e) Transfer of gift					
	Transferee's name, address, and	1 ZIP + 4	Relationship of tra	ansferor to transferee			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Des	cription of how gift is held			
	(e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee						
	mansieree s name, aumess, am		. Islandising of the	and the desired			

ANN A: MERGENS FOUNDATION, PAGE Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) D - Donation 116.582 SHS DOUBLELINE TOTAL RETURN BOND P 03/19/12|06/29/12 P 03<u>/19/12|06/29/12</u> VIRTUS PREMIUM ALPHASECTOR I b 128.540 SHS P 03/19/1206/29/12 c 225.019 SHS VANGUARD TOTAL BOND MARKET INDEX SIGN d 124.398 SHS BRANDYWINE BLUE FUND COMMON P 03/19/1206/29/12 P e 120.730 03/19/1206/29/12 SHS LONGLEAF PARTNERS FUND P |03/19/12|06/29/12 318.831 SHS PIMCO TOTAL RETURN FUND g 371.648 PIMCO UNCONSTRAINED BOND FUND P |03/19/12|06/29/12 SHS P 03/19/1206/29/12 h 802.291 SHS PIMCO EMERGING LOCAL BOND FUND P 03/19/1206/29/12 1 520.522 SHS FMI LARGE CAP FUND P |03/19/12|06/29/12 598.730 SHS LOOMIS SAYLES BOND P 03/19/1206/29/12 k 548.494 SHS BBH CORE SELECT N P 03/19/1206/29/12 SHS THORNBURG INTERNATIONAL VALUE FUND m CAPITAL GAINS DIVIDENDS n 0 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 7. 1,310 1,303 а -51. 1,617. 1,668 b 45<u>.</u> 2,502. 2,457 C 2,865. 3,353 -488. d 3,331 3,667 -336. е 83. 3,523. 3,606. 137. 4,096. 4,233. g -385. 8,248. 8,633. h 8,427 -380. 8,807. -191. 8,592 8,783. -291. 9,078. 8,787. -2,199. 16,821 19,020. 78. 78. m n 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 7. -51<u>.</u> b 45. -488. d -336. e 83. f 137. q -385. h -380. -191. -291. -2,199. 1 78. m Λ 0 If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 -3,971. 2 Capital gain net income or (net capital loss) 2

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

N/A

FORM 990-PF INTEREST ON SAVIN	IGS AND TEM	PORARY C	ASH IN	IVESTMENTS	STATEMENT	1
SOURCE					AMOUNT	
MERCHANTS BANK MERCHANTS TRUST COMPANY						22. 11.
TOTAL TO FORM 990-PF, PART I,	LINE 3, CO	LUMN A		:		33.
FORM 990-PF DIVIDENDS	S AND INTER	EST FROM	SECUR	RITIES	STATEMENT	2
SOURCE	GROSS	AMOUNT		TAL GAINS	COLUMN (A AMOUNT)
MERCHANTS TRUST COMPANY MERCHANTS TRUST COMPANY - CAPITAL GAIN DIVS.		10,933.		0. 78.	10,9	33.
TOTAL TO FM 990-PF, PART I, LN	1 4	11,011.		78.	10,9	33.
FORM 990-PF	ACCOUNTI	NG FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INV MENT IN	EST-			
ACCOUNTING	4,174.	2	,087.			0.
TO FORM 990-PF, PG 1, LN 16B	4,174.	2	,087.			0.
FORM 990-PF 01	THER PROFES	SIONAL F	EES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INV MENT IN	EST- COME	(C) ADJUSTED NET INCOM		
DESCRIPTION FINANCIAL ADVISORY FEES	EXPENSES	MENT IN	-	ADJUSTED	CHARITA	

FORM 990-PF	OTHER EXPENSES			STATEMENT			5
DESCRIPTION	(A) EXPENSES PER BOOKS		T-	(C) ADJUSTED NET INCOM		(D) CHARITAI PURPOSI	
APPLICATION FEE OFFICE SUPPLIES WIRE TRANSFER FEE COMPUTER SERVICES & SUPPLIES	850. 139. 10. 153.		0. 0. 10.				0. 0. 0.
TO FORM 990-PF, PG 1, LN 23	1,152.		10.				0.
FORM 990-PF	OTHER INV	ESTMENTS			STA	ATEMENT	6
DESCRIPTION		LUATION ETHOD	вос	OK VALUE	FA	IR MARKE' VALUE	r
MUTUAL FUNDS - MERCHANTS TRUS	T	COST		933,464.		944,4	59.
TOTAL TO FORM 990-PF, PART II	, LINE 13	=		933,464.		944,4	59.
	SUBSTANTIA		TORS	5	ST	ATEMENT	7
NAME OF CONTRIBUTOR	ADDR	ESS					
MARY MERGENS-LOUGHRAN	_	BOX 662 BURNE, VT	0548	32			
ANN A. MERGENS		BOX 662 BURNE, VT	0548	32			

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D

STATEMENT

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARY MERGENS-LOUGHRAN P.O. BOX 662 SHELBURNE, VT 05482

TELEPHONE NUMBER

802 863-5933

FORM AND CONTENT OF APPLICATIONS

ANY FORM OF APPLICATION IS ACCEPTABLE. INCLUDE ORGANIZATIONAL INFORMATION AND INFORMATION ON THE CHARITABLE USE OF FUNDS.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE