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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

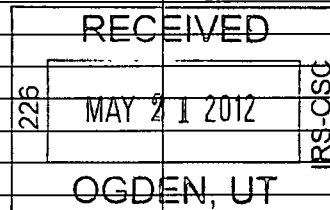
2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation WILLIAM & CAROLE HAUKE CHARITABLE FOUNDATION		A Employer identification number 65-6238507
Number and street (or P.O. box number if mail is not delivered to street address) 1385 COLCHESTER POINT RD.		B Telephone number 802-862-1985
City or town, state, and ZIP code COLCHESTER, VT 05446		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 829,651. (Part I, column (d) must be on cash basis.)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		19,389.	19,379.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		2,188.			
b Gross sales price for all assets on line 6a 52,522.					
7 Capital gain net income (from Part IV, line 2)			2,188.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		2,116.	2,116.		STATEMENT 2
12 Total. Add lines 1 through 11		23,693.	23,683.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		5,342.	5,342.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		546.	546.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		17.	17.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		5,905.	5,905.		0.
25 Contributions, gifts, grants paid		47,500.			47,500.
26 Total expenses and disbursements. Add lines 24 and 25		53,405.	5,905.		47,500.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-29,712.			
b Net investment income (if negative, enter -0-)			17,778.		
c Adjusted net income (if negative, enter -0-)				N/A	



**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		-1.	-1.
	2 Savings and temporary cash investments	210,294.	227,977.	227,977.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	423,484.	405,232.	445,496.
	c Investments - corporate bonds STMT 7	201,545.	172,403.	156,179.
	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	835,323.	805,611.	829,651.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	835,323.	805,611.	
	30 Total net assets or fund balances	835,323.	805,611.	
	31 Total liabilities and net assets/fund balances	835,323.	805,611.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 835,323.
2 Enter amount from Part I, line 27a	2 -29,712.
3 Other increases not included in line 2 (itemize) ▶	3 0.
4 Add lines 1, 2, and 3	4 805,611.
5 Decreases not included in line 2 (itemize) ▶	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 805,611.

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 52,522.		50,334.	2,188.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			2,188.

2 Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 7

If (loss), enter -0- in Part I, line 7

2

2,188.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter -0- in Part I, line 8

3

N/A

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	32,500.	854,668.	.038026
2009	44,800.	809,209.	.055363
2008	39,500.	926,082.	.042653
2007	55,049.	828,773.	.066422
2006	35,950.	913,692.	.039346

2 Total of line 1, column (d)

2

.241810

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

.048362

4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5

4

862,521.

5 Multiply line 4 by line 3

5

41,713.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

178.

7 Add lines 5 and 6

7

41,891.

8 Enter qualifying distributions from Part XII, line 4

8

47,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION
Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	178.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	178.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	178.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	400.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	400.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	222.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input checked="" type="checkbox"/> 222. Refunded <input checked="" type="checkbox"/> 0.	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**

65-6238507

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NONE	13	X	
14	The books are in care of ► WILLIAM R. HAUKE Telephone no. ► 516-229-0141 Located at ► 9950 SO. OCEAN BEACH DRIVE, JENSEN BEACH, FL ZIP+4 ► 34957			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM R. HAUKE JR 9950 SO. OCEAN BEACH DRIVE # 703 JENSEN BEACH, FL 34957	GRANTOR 0.00	0.	0.	0.
CAROLE A. HAUKE 9950 SO. OCEAN BEACH DRIVE # 703 JENSEN BEACH, FL 34957	GRANTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
	All other program-related investments. See instructions.	
3		

Total. Add lines 1 through 3

0.

Form **990-PF** (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	631,927.
b	Average of monthly cash balances	1b	243,729.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	875,656.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	875,656.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,135.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	862,521.
6	Minimum investment return. Enter 5% of line 5	6	43,126.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	43,126.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	178.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	178.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	42,948.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	42,948.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	42,948.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	47,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	47,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	178.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	47,322.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**
Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				42,948.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			10,101.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 47,500.				
a Applied to 2010, but not more than line 2a			10,101.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				37,399.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				5,549.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN CANCER HOPE LODGE	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
APPALACHIAN TRAIL CONSERVANCY	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
BURLINGTON COMMUNITY HEALTH CENTER	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
BURLINGTON DISMAS HOUSE	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
FLORIDA SHERIFF YOUTH RANCE	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	500.
Total	SEE CONTINUATION SHEET(S)			▶ 3a 47,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see Instr)?

☒ Yes ☐ No

Signature of officer or trustee

Date 5/14/12

GRANTOR
Title

**Paid
Preparer
Use Only**

Print/Type preparer's name
DAVID G. SANTERRE,
CPA

Preparer's signature

DAVID G. SANTERRE

Date	05/14/12
------	----------

Check ☐ self-employed

PTIN	
P00078830	

Firm's name ► BILODEAU, WELLS & CO. PC

Firm's EIN ► 03-0293317

Firm's address ► P.O. BOX 687
ESSEX JUNCTION, VT 05453

Phone no. (802) 879-1117

Form 990-PF (2011)

**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**

65-6238507

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRIENDS OF BURNHAM LIBRARY	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	500.
GREEN MOUNTAIN CLUB	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	2,000.
HIBISCUS CENTER	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	2,500.
JIMMY FUND	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	20,000.
KING STREET YOUTH CENTER	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
LCMM	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	2,500.
MARTIN COUNTY HABITAT	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	2,000.
MATER CHRISTI SCHOOL	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	2,000.
REBUILDING TOGETHER	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
RICE MEMORIAL HIGH SCHOOL	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	4,000.
Total from continuation sheets				43,000.

WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION

65-6238507

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SALVATION ARMY	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	500.
SARA HOLBROOK CENTER	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	500.
ST MICHAEL'S PLAY HOUSE	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	500.
VABVI	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
VERMONT RESPITE HOUSE	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
VERMONT SYMPHONY	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
VNA VERMONT	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
Total from continuation sheets				

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 50000 SH GENERAL ELEC CAP CORP MEDIUM TERM		P	05/25/06	04/28/11
b .31 SH COLUMBIA FDS SER TR MARSICO INTL		P	04/08/08	06/23/11
c .5 SH COLUMBIA FDS SER TR CONV SECS FD		P	01/07/05	06/23/11
d .48 SH COLUMBIA FDS SER TR 1 REAL ESTATE		P	02/14/03	06/23/11
e .26 SH COLUMBIA FDS SER TR 1 SMALL CAP		P	06/11/01	06/23/11
f .76 SH COLUMBIA FDS SER TR MARSICO 21ST		P	05/23/06	06/23/11
g 24 SH VERIGY LTD		P	10/29/04	07/08/11
h CAPITAL GAINS DIVIDENDS				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 50,000.		50,019.	-19.
b 4.		5.	-1.
c 8.		9.	-1.
d 6.		8.	-2.
e 4.		4.	0.
f 10.		10.	0.
g 360.		279.	81.
h 2,130.			2,130.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-19.
b			-1.
c			-1.
d			-2.
e			0.
f			0.
g			81.
h			2,130.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	2,188.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

HAUKE CHARITABLE FOUNDATION
FEIN # 65-6238507
FORM 990-PF, PART X, LINES 1(a) and 1(b) DETAIL
YEAR ENDED 12/31/2011

DESCRIPTION	January 2011	February 2011	March 2011	April 2011	May 2011	June 2011	July 2011	August 2011	September 2011	October 2011	November 2011	December 2011	Average 2011
STOCKS (fair market value)	331,957	338,409	337,870	348,135	344,326	292,827	280,803	268,683	251,605	272,663	273,742	278,756	301,648
MUTUAL FUNDS FIXED (fair market value)	19,136	19,232	19,208	19,405	19,385	19,069	19,306	18,552	18,117	19,159	18,356	19,283	19,017
MUTUAL FUNDS EQUITY (fair market value)	119,058	123,472	125,135	128,476	127,108	167,651	163,629	149,633	133,742	150,870	149,330	147,457	140,463
TOTAL STOCK	470,151	481,113	482,213	496,016	490,819	479,547	463,738	436,868	403,464	442,692	441,428	445,496	461,129
BONDS (fair market value)	209,586	209,476	208,360	159,002	158,802	160,449	159,292	157,075	156,522	157,441	157,400	156,179	170,799
AVERAGE FAIR VALUE of SECURITIES FORM 990-PF, PART X, LINE 1(a)	679,737	690,589	690,573	655,018	649,621	639,996	623,030	593,943	559,986	600,133	598,828	601,675	631,927
CASH - PRINCIPAL ASSETS	110,355	110,355	110,355	160,355	160,355	243,263	265,259	265,440	265,440	265,616	265,616	265,616	207,335
CASH - INCOME ASSETS	97,910	99,244	99,991	101,120	100,462	23,413	3,662	5,625	7,066	7,390	7,833	12,964	47,223
CASH - DISBURSEMENTS	0	0	0	0	0	0	0	(15,085)	(20,341)	(22,443)	(38,546)	(49,604)	(12,168)
BILL HAUKE JR.	3,293	3,293	3,293	3,293	2,893	0	0	0	0	0	0	0	1,339
AVERAGE CASH BALANCE FORM 990-PF, PART X, LINE 1(b)	211,558	212,892	213,639	264,768	263,710	266,676	268,921	255,980	252,165	250,563	234,903	228,976	243,729
GRAND TOTALS	891,295	903,481	904,212	919,786	913,331	906,672	891,951	849,923	812,151	850,696	833,731	830,651	
less: BILL HAUKE JR. CASH BALANCE	(3,293)	(3,293)	(3,293)	(3,293)	(2,893)	0	0	0	0	0	0	0	0
TOTALS per MONTHLY STATEMENTS	888,002	900,188	900,919	916,493	910,438	906,672	891,951	849,923	812,151	850,696	833,731	830,651	



Resource Management Account
December 2011

Account name:
Account number:

WILLIAM R. HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
BRYAN/BARTLEY/MAHER
802-863-8430/800-821-1272

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
Cash	-4,000.00	0.00					
UBS BANK USA DEP ACCT	238,903.87	228,976.95					250,000.00
Total	\$234,903.87	\$228,976.95					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol: ABB Exchange: NYSE								
EAI: \$96 Current yield: 3.41%	Apr 8, 08	50,000	54.047	2,702.38	55.230	2,811.50	109.12	LT
AGILENT TECHNOLOGIES INC								
Symbol: A Exchange: NYSE	Oct 29, 04	200,000	25.130	5,026.00 5,041	34.930	6,986.00	1,960.00	LT
AIR PROD & CHEMICAL INC								
Symbol: APD Exchange: NYSE								
EAI: \$290 Current yield: 7.22%	Jun 4, 04	125,000	48.680	6,085.00	85.190	10,648.75	4,563.75	LT
AMGEN INC								
Symbol: AMGN Exchange: OTC								
EAI: \$144 Current yield: 2.24%	May 23, 06	100,000	68.027	6,802.75	64.210	6,421.00	-381.75	LT
AT&T INC								
Symbol: T Exchange: NYSE								
EAI: \$352 Current yield: 5.82%	Feb 14, 03	100,000	22.550	2,255.00	30.240	3,024.00	769.00	LT
	Apr 8, 08	100,000	38.364	3,836.49	30.240	3,024.00	-812.49	LT

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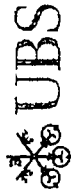


Account name: WILLIAM R HAUKE JR AND
Account number: HJ 10178 AK

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		200,000	30.457	6,091.49		6,048.00	-43.49	
AVON PRODUCTS INC								
Symbol: AVP Exchange: NYSE								
EAI: \$115 Current yield: 5.27%	Apr 8, 08	125,000	39.744	4,968.10	17.470	2,183.75	-2,784.35	LT
BANK OF AMER CORP								
Symbol: BAC Exchange: NYSE								
EAI: \$8 Current yield: 0.72%	Feb 14, 03	200,000	33.750	6,750.00	5.560	1,112.00	-5,638.00	LT
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI: \$48 Current yield: 1.33%	Nov 6, 97	200,000	9.430	1,886.11	18.080	3,616.00	1,729.89	LT
CITIGROUP INC								
Symbol: C Exchange: NYSE								
EAI: \$1 Current yield: 0.13%	Jul 23, 99	20,000	338.209	6,764.18	26.310	526.20	-6,237.98	LT
	Feb 14, 03	10,000	318.300	3,183.00	26.310	263.10	-2,919.90	LT
Security total		30,000	331.573	9,947.18		789.30	-9,157.88	
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAI: \$188 Current yield: 2.69%	May 23, 06	100,000	43.587	4,358.75	69.970	6,997.00	2,638.25	LT
CONOCOPHILLIPS								
Symbol: COP Exchange: NYSE								
EAI: \$528 Current yield: 3.62%	Oct 23, 01	92,000	27.827	2,560.15	72.870	6,704.04	4,143.89	LT
	Feb 14, 03	108,000	24.025	2,594.70	72.870	7,869.96	5,275.26	LT
Security total		200,000	25.774	5,154.85		14,574.00	9,419.15	
CONS EDISON CO (HOLDING CO)								
Symbol: ED Exchange: NYSE								
EAI: \$240 Current yield: 3.87%	Feb 14, 03	100,000	38.250	3,825.00	62.030	6,203.00	2,378.00	LT
CVS CAREMARK CORP								
Symbol: CVS Exchange: NYSE								
EAI: \$217 Current yield: 1.59%	Feb 23, 04	334,000	19.098	6,375.00	40.780	13,620.52	7,241.52	LT
DELL INC								
Symbol: DELL Exchange: OTC								
DOMINION RESOURCES INC VA (NEW)	Feb 14, 03	200,000	24.590	4,918.00	14.630	2,926.00	-1,992.00	LT
DOMINION RESOURCES INC VA (NEW)								
Symbol: D Exchange: NYSE								
EAI: \$197 Current yield: 3.71%	Feb 14, 03	100,000	26.920	2,692.00	53.080	5,308.00	2,616.00	LT

continued next page



Resource Management Account
December 2011

Account name:
Account number:

WILLIAM R. HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
BRYAN/BARTLEY/MAHER
802-863-8430/800-821-1272

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
EXXON MOBIL CORP								
Symbol: XOM Exchange: NYSE								
EAI: \$376 Current yield: 2.22%	Nov 6, 97	200,000	28.032	5,606.56	84.760	16,952.00	11,345.44	LT
GENL ELECTRIC CO								
Symbol: GE Exchange: NYSE								
EAI: \$136 Current yield: 3.80%	Nov 6, 97	200,000	22.963	4,592.67	17.910	3,582.00	-1,010.67	LT
GLAXO SMITHKLINE PLC ADR								
Symbol: GSK Exchange: NYSE								
EAI: \$331 Current yield: 4.84%	Jun 4, 04	150,000	42.213	6,332.00	45.630	6,844.50	512.50	LT
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAI: \$290 Current yield: 2.76%	Jul 23, 99	150,000	43.301	6,495.25	42.040	6,306.00	-189.25	LT
	Jun 11, 01	100,000	51.000	5,100.00	42.040	4,204.00	-896.00	LT
Security total		250,000	45.381	11,595.25		10,510.00	-1,085.25	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: \$228 Current yield: 3.48%	Oct 23, 01	100,000	58.960	5,896.00	65.580	6,558.00	662.00	LT
JOY GLOBAL INC								
Symbol: JOY Exchange: NYSE								
EAI: \$70 Current yield: 0.93%	Apr 8, 08	100,000	69.817	6,981.75	74.970	7,497.00	515.25	LT
MEDTRONIC INC								
Symbol: MDT Exchange: NYSE								
EAI: \$194 Current yield: 2.54%	Oct 23, 01	100,000	43.360	4,336.00	38.250	3,825.00	-511.00	LT
	Feb 14, 03	100,000	43.170	4,317.00	38.250	3,825.00	-492.00	LT
Security total		200,000	43.265	8,653.00		7,650.00	-1,003.00	
METUFE INC								
Symbol: MET Exchange: NYSE								
EAI: \$101 Current yield: 2.36%	Oct 29, 04	137,000	38.300	5,247.10	31.180	4,271.66	-975.44	LT
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAI: \$480 Current yield: 3.08%	Jun 11, 01	200,000	35.915	7,183.00	25.960	5,192.00	-1,991.00	LT
	Feb 14, 03	400,000	23.650	9,460.00	25.960	10,384.00	924.00	LT
Security total		600,000	27.738	16,643.00		15,576.00	-1,067.00	

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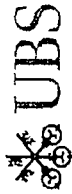


Account name: WILLIAM R. HAUKE JR AND
Account number: HJ 10178 AK

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
NOKIA CORP SPONS ADR FINLAND								
ADR								
Symbol: NOK Exchange: NYSE								
EAI: \$392 Current yield: 8.48%	May 23, 06	225 000	21.587	4,857.19	4 820	1,084.50	-3,772.69	LT
PEPSICO INC								
Symbol: PEP Exchange: NYSE								
EAI: \$412 Current yield: 3.10%	Nov 16, 99	200 000	33.140	6,628.00	66.350	13,270.00	6,642.00	LT
PRIZER INC								
Symbol: PFE Exchange: NYSE								
EAI: \$334 Current yield: 4.06%	Nov 6, 97	280 000	29 773	8,336.44	21.640	6,059.20	-2,277.24	LT
	Feb 14, 03	100 000	28 560	2,856.00	21.640	2,164.00	-692.00	LT
Security total		380 000	29 454	11,192.44		8,223.20	-2,969.24	
POTASH CORP SASK INC CANADA								
CAD								
Symbol: POT Exchange: NYSE								
EAI: \$21 Current yield: 0.65%	Apr 8, 08	75 000	58 412	4,380.94	41.280	3,096.00	-1,284.94	LT
PRAXAIR INC								
Symbol: PX Exchange: NYSE								
EAI: \$200 Current yield: 1.87%	May 23, 06	100 000	54.267	5,426.75	106.900	10,690.00	5,263.25	LT
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: \$420 Current yield: 3.15%	Apr 29, 98	200 000	40.623	8,124.75	66.710	13,342.00	5,217.25	LT
QUALCOMM INC								
Symbol: QCOM Exchange: OTC								
EAI: \$86 Current yield: 1.57%	May 23, 06	100 000	46.497	4,649.75	54.700	5,470.00	820.25	LT
TARGET CORP								
Symbol: TGT Exchange: NYSE								
EAI: \$120 Current yield: 2.34%	Feb 14, 03	100 000	26 950	2,695.00	51.220	5,122.00	2,427.00	LT
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol: TEVA Exchange: OTC								
EAI: \$137 Current yield: 1.94%	May 23, 06	175 000	36 871	6,452.56	40.360	7,063.00	610.44	LT
UNTD TECHNOLOGIES CORP								
Symbol: UTX Exchange: NYSE								
EAI: \$384 Current yield: 2.63%	Oct 23, 01	200 000	26.585	5,317.00	73.090	14,618.00	9,301.00	LT

continued next page



Resource Management Account
December 2011

Account name:
Account number:

WILLIAM R. HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
BRYAN/BARTLEY/MAHER
802-863-8430/800-821-1272

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
WAL MART STORES INC								
Symbol: WMT Exchange: NYSE								
EAI \$73 Current yield: 2.44%	Feb 14, 03	50,000	47.690	2,384.50	59.760	2,988.00	603.50	LT
WASTE MGMT INC NEW								
Symbol: WM Exchange: NYSE								
EAI \$204 Current yield: 4.16%	May 23, 06	150,000	36.837	5,525.63	32.710	4,906.50	-619.13	LT
WELLS FARGO & CO NEW								
Symbol: WFC Exchange: NYSE								
EAI \$192 Current yield: 1.74%	Nov 6, 97	400,000	16.300	6,520.00	27.560	11,024.00	4,504.00	LT
3M CO								
Symbol: MMM Exchange: NYSE								
EAI \$220 Current yield: 2.69%	Oct 23, 01	100,000	53.185	5,318.50	81.730	8,173.00	2,854.50	LT
Total				\$228,606.95		\$278,756.18	\$50,149.23	
Total estimated annual income: \$7,525								

Mutual funds

Total reinvested² is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

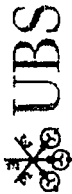
Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
COLUMBIA CONVERTIBLE SECURITIES FUND CLASS Z									
Symbol: NCIX									
Total reinvested	1,132,000				13.680	15,485.76			
EAI \$523 Current yield: 3.32%	20,955	13.525		283.43	13.680	286.66	3.23		
Security total	1,152,955	13.526		283.43		15,772.42	3.23		
COLUMBIA MAFISCO INTL OPPORTUNITIES FUND									

19,921.12

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continued next page



Account name: WILLIAM R. HAUKE JR AND
Account number: HJ 10178 AK

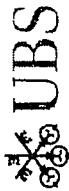
Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
CLASS Z									
Symbol: NMOAX	4,147,000	---This information was unavailable---		62,495.28	10.090	41,843.23			
COLUMBIA MARSICO									
21ST CENTURY Z									
Symbol: NMYAX	3,366,000	---This information was unavailable---		43,657.02	12.190	41,031.54			
COLUMBIA REAL ESTATE									
EQUITY FUND-Z									
Symbol: CREEX	224,000	---This information was unavailable---		3,771.25	12.700	2,844.80			
Total reinvested	8,116	12.005		97.44	12.700	103.07	5.63		
EAI \$66 Current yield 2.24%									
Security total	232,116	12.006		97.44		2,947.87	5.63		
COLUMBIA SMALLCAP CORE									
FUND-Z									
Symbol: SMCEX	2,972,000	---This information was unavailable---		44,996.08	14.870	44,193.64			
Total reinvested	112,218	14.990		1,682.15	14.870	1,668.68	-13.47		
Security total	3,084,218	14.990		1,682.15		45,862.32	-13.47		
Total			\$0.00	\$2,063.02		\$147,457.38	-\$4.61	\$0.00	
Total estimated annual income: \$589									

MUT FUND
equity

MISSING BASIS...	
19,921.12	+
62,495.28	+
43,657.02	+
44,996.08	+

171,069.50	*



Resource Management Account
December 2011

Account name:
Account number:

WILLIAM R. HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
BRYAN/BARTLEY/MAHER
802-863-8430/800-821-1272

Your assets (continued)

Fixed income

Corporate bonds and notes

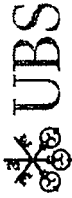
Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID) if you have made a tax

election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
VERIZON NEW JERSEY INC								
MW+30BP								
RATE 05 875% MATURES 01/17/12								
ACCURED INTEREST \$1,330.03								
CUSIP 92344UAA3								
Moody: WR S&P: A-								
EAL \$1,469 Current yield: 5.87%		50,000,000	--This information was unavailable	50,788.50	100.165	50,082.50		
CITIGROUP INC NTS								
RATE 06 000% MATURES 02/21/12								
ACCURED INTEREST \$1,075.00								
CUSIP 172567B19								
Moody: A3 S&P: A-								
EAL \$1,500 Current yield: 5.97%		50,000,000	--This information was unavailable	51,004.00	100.558	50,279.00		
SOUTHTRUST CORP NTS								
SUB DEB								
RATE 05 800% MATURES 06/15/14								
ACCURED INTEREST \$120.83								
CUSIP 844730AG6								
Moody: A3 S&P: A								
EAL \$2,900 Current yield: 5.44%		50,000,000	--This information was unavailable	49,734.00	106.582	53,291.00		
Total		\$150,000,000				\$153,652.50		
Total accrued interest:		\$2,525.86						
Total estimated annual income:		\$5,869						

42 = 150178
BONDS





Account name: WILLIAM R. HAUKE JR AND
Account number: HJ 10178 AK

Your assets • Fixed income (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

MISSING BASIS	
50,788.50 +	
51,004.00 +	
49,734.00 +	
20,000.00 +	

171,526.50 *	

Unrealized (tax) gain or loss may generally be your tax reporting purposes. To determine the realized gain or loss for tax purposes, you need to determine the realized gain or loss for tax purposes.

Investment return is the reflection on your state include cash dividends. It does not include shares that are not realized as either a gain or a loss. It also does not include cash dividends.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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COLUMBIA INCOME OPPORTUNITIES FUND CLASS

Z

Symbol: CIOZX

1,972,000 ---This information was unavailable

Total reinvested

EAI \$1,337 Current yield: 6.93%

Security total

9,330

9,330

18,398.76

882.99

7.24

7.24

7.24

Your total assets

		Value on Dec 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	228,976.95	27.57%	228,976.95	ACCT #1050	50,149.23
Equities	Common stock	278,756.18		228,606.95	7,525.00	-4.61
	• Mutual funds	147,457.38		2,053.02	589.00	50,144.52
	Total equities	426,213.56	51.31%	230,669.97	ACCT #1200	
Fixed income	• Corporate bonds and notes	153,652.50		875.75	5,869.00	7.24
	• Mutual funds	19,281.75			1,337.00	
	Total accrued interest	2,525.86				
	Total fixed income	175,460.11	21.12%	875.75	ACCT #1300	7.24
Total		\$830,650.62	100.00%	\$460,522.67		\$50,151.86

• Missing cost basis information

REIT - COST MISSING	460,522.67 +
	3,779.00 +

EQUITY - COST MISSING	464,301.67 +
FIXED - COST MISSING	171,167.00 +
	171,527.00 +

	806,995.67 *

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BANK OF AMERICA	8,698.	0.	8,698.
UBS	12,821.	2,130.	10,691.
TOTAL TO FM 990-PF, PART I, LN 4	21,519.	2,130.	19,389.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
REIMBURSEMENT OF EXPENSES	1,793.	1,793.	
MISCELLANEOUS	323.	323.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,116.	2,116.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BILODEAU WELLS AND COMPANY	1,793.	1,793.		0.
BANK OF AMERICA	2,799.	2,799.		0.
BANK OF AMERICA	600.	600.		0.
UBS	150.	150.		0.
TO FORM 990-PF, PG 1, LN 16B	5,342.	5,342.		0.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX PAID	146.	146.		0.	
FEDERAL INCOME TAX	400.	400.		0.	
TO FORM 990-PF, PG 1, LN 18	546.	546.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MISCELLANEOUS EXPENSES	17.	17.		0.	
TO FORM 990-PF, PG 1, LN 23	17.	17.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
SEE ATTACHED DETAIL	405,232.		445,496.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	405,232.		445,496.	

FORM 990-PF	CORPORATE BONDS		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
SEE ATTACHED DETAIL	172,403.		156,179.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	172,403.		156,179.	