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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation WILLIAM & CAROLE HAUKE CHARITABLE FOUNDATION		A Employer identification number 65-6238507
Number and street (or P O box number if mail is not delivered to street address) 1385 COLCHESTER POINT RD.	Room/suite	B Telephone number 802-862-1985
City or town, state, and ZIP code COLCHESTER, VT 05446		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 798,754.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	16,781.	16,781.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-506.			
b Gross sales price for all assets on line 6a	101,287.			
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	299.	299.		STATEMENT 2
12 Total Add lines 1 through 11	16,574.	17,080.		
13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 3	3,031.	3,031.		0.
c Other professional fees				
17 Interest				
18 Taxes STMT 4	125.	125.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses				
24 Total operating and administrative expenses. Add lines 13 through 23	3,156.	3,156.		0.
25 Contributions, gifts, grants paid	88,000.			88,000.
26 Total expenses and disbursements. Add lines 24 and 25	91,156.	3,156.		88,000.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-74,582.			
b Net investment income (if negative, enter -0-)		13,924.		
c Adjusted net income (if negative, enter -0-)			N/A	

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**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**

65-6238507

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		-1.	-1.
	2 Savings and temporary cash investments	227,976.	251,096.	251,096.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	405,232.	457,865.	494,250.
	c Investments - corporate bonds STMT 6	172,403.	22,069.	53,409.
11 Investments - land, buildings, and equipment, basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment, basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	805,611.	731,029.	798,754.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	805,611.	731,029.	
30 Total net assets or fund balances	805,611.	731,029.		
31 Total liabilities and net assets/fund balances	805,611.	731,029.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	805,611.
2 Enter amount from Part I, line 27a	2	-74,582.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	731,029.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	731,029.

**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**
Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CITIGROUP INC NTS 6% 022112	P	VARIOUS	02/21/12
b VERIZON NEW JERSEY INC 5.875%	P	VARIOUS	01/17/12
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale -	(h) Gain or (loss) (e) plus (f) minus (g)
a 50,000.		51,004.	-1,004.
b 50,000.		50,789.	-789.
c 1,287.			1,287.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-1,004.
b			-789.
c			1,287.
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	-506.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	47,322.	862,521.	.054865
2010	32,500.	854,668.	.038026
2009	44,800.	809,209.	.055363
2008	39,500.	926,082.	.042653
2007	55,049.	828,773.	.066422

2 Total of line 1, column (d)	2	.257329
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051466
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	823,895.
5 Multiply line 4 by line 3	5	42,403.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	139.
7 Add lines 5 and 6	7	42,542.
8 Enter qualifying distributions from Part XII, line 4	8	88,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	139.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	139.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	139.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	222.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	222.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	83.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input checked="" type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>NONE</u>	13	X	
14	The books are in care of ► <u>WILLIAM R. HAUKE</u> Telephone no. ► <u>516-229-0141</u> Located at ► <u>9950 SO. OCEAN BEACH DRIVE, JENSEN BEACH, FL</u> ZIP+4 ► <u>34957</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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CHARITABLE FOUNDATION

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM R. HAUKE JR 9950 SO. OCEAN BEACH DRIVE # 703 JENSEN BEACH, FL 34957	GRANTOR 0.00	0.	0.	0.
CAROLE A. HAUKE 9950 SO. OCEAN BEACH DRIVE # 703 JENSEN BEACH, FL 34957	GRANTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**
Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	539,124.
b	Average of monthly cash balances	1b	297,318.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	836,442.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	836,442.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,547.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	823,895.
6	Minimum investment return. Enter 5% of line 5	6	41,195.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	41,195.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	139.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	139.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	41,056.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	41,056.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	41,056.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	88,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	88,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	139.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	87,861.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				41,056.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			5,549.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 88,000.				
a Applied to 2011, but not more than line 2a			5,549.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				41,056.
e Remaining amount distributed out of corpus	41,395.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	41,395.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	41,395.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012	41,395.			

N/A

- b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

WILLIAM & CAROLE HAUKE

Form 990-PF (2012)

CHARITABLE FOUNDATION

65-6238507 Page 11

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BRIDGEWATER MA STATE UNIVERSITY	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	1,000.
CAMP TA-KUM-TA	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	500.
DANA FARBER	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	22,500.
JDRF	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	7,000.
JUNIOR ACHIEVEMENT	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	5,000.
Total	SEE CONTINUATION SHEET(S)			88,000.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/14/2013
Date

GRANTOR
Title

May the IRS discuss this return with the preparer shown below (see instr) ?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

DAVID G. SANTERRE,
CPA

Preparer's signature

DAVID G. SANTERRE

Date

05/13/13

Check ☐ if
self-employed

PTIN

P00078830

Firm's name ► BILODEAU, WELLS & CO. PC

Firm's EIN ▶	03-0293317
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Firm's address ► P.O. BOX 687

ESSEX JUNCTION, VT 05453

Phone no. (802) 879-1117

Form 990-PF (2012)

WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION

65-6238507

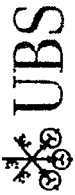
Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RICE MEMORIAL HIGH SCHOOL	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	50,000.
VERMONT DISASTER RECOVERY	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	2,000.
Total from continuation sheets				52,000.

HAUKE CHARITABLE FOUNDATION
FEIN # 65-6238507
FORM 990-PF, PART X, LINES 1(a) and 1(b) DETAIL
YEAR ENDED 12/31/2012

DESCRIPTION	January 2012	February 2012	March 2012	April 2012	May 2012	June 2012	July 2012	August 2012	September 2012	October 2012	November 2012	December 2012	Average 2012
STOCKS (fair market value)	288,567	298,839	304,352	300,098	282,470	293,637	296,165	301,058	306,376	301,857	302,464	303,400	298,274
MUTUAL FUNDS FIXED (fair market value)	19,824	20,244	20,107	20,348	20,063	20,521	20,964	21,151	21,382	21,568	21,753	22,073	20,833
MUTUAL FUNDS EQUITY (fair market value)	157,001	163,491	168,139	166,381	151,919	156,250	156,192	159,487	163,777	161,019	163,345	168,777	161,315
TOTAL STOCK	465,392	482,574	492,598	486,827	454,452	470,408	473,321	481,696	491,535	484,444	487,562	494,250	480,422
BONDS (fair market value)	105,765	54,675	54,990	54,992	54,871	53,702	53,920	53,982	54,670	54,633	54,816	53,409	58,702
AVERAGE FAIR VALUE of SECURITIES FORM 990-PF, PART X, LINE 1(a)	571,157	537,249	547,588	541,819	509,323	524,110	527,241	535,678	546,205	539,077	542,378	547,659	539,124
CASH - PRINCIPAL ASSETS	228,977	228,977	228,977	228,977	228,977	228,977	228,977	228,977	228,977	228,977	228,977	228,977	228,977
CASH - INCOME ASSETS	51,878	103,840	105,381	105,794	106,325	109,409	109,785	110,262	111,921	112,305	112,783	118,018	104,808
CASH - DISBURSEMENTS	(1,105)	(6,213)	(6,450)	(8,544)	(12,531)	(17,810)	(18,410)	(40,513)	(43,279)	(93,379)	(93,479)	(95,899)	(36,468)
BILL HAUKE JR													0
AVERAGE CASH BALANCE FORM 990-PF, PART X, LINE 1(b)	279,750	326,604	327,908	326,227	322,771	320,576	320,352	298,726	297,619	247,903	248,281	251,096	297,318
GRAND TOTALS	850,907	863,853	875,496	868,046	832,094	844,686	847,593	834,404	843,824	786,980	790,659	798,755	



Resource Management Account
December 2012

Account name:
Account number:

WILLIAM R. HAUKE JR. AND
HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
803-863-8430/800-821-1272

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
Cash	0.30	0.00					
RMA GOVERNMENT PORTFOLIO	0.00	1,096.08	1.00	0.01%	Nov 26 to Dec 23	28	
UBS BANK USA DEP ACCT	248,280.40	250,000.00					250,000.00
Total	\$248,280.70	\$251,096.08					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol: ABB Exchange: NYSE								
EAI: \$28 Current yield: 0.85%	Apr 8, 08	50,000	51.047	2,702.38	65.500	3,275.00	572.62	LT
AGILENT TECHNOLOGIES INC								
Symbol: A Exchange: NYSE								
EAI: \$80 Current yield: 0.98%	Oct 29, 04	200,000	25.130	5,026.00	40.940	8,188.00	3,162.00	LT
AIR PROD & CHEMICAL INC								
Symbol: APD Exchange: NYSE								
EAI: \$320 Current yield: 3.05%	Jun 4, 04	125,000	48.680	6,085.00	84.020	10,502.50	4,417.50	LT
AMGEN INC								
Symbol: AMGN Exchange: OTC								
EAI: \$188 Current yield: 2.18%	May 23, 06	100,000	68.027	6,802.75	86.200	8,620.00	1,817.25	LT

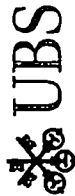
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Resource Management Account
December 2012

Account name:
Account number:

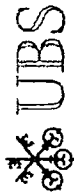
WILLIAM R. HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AT&T INC								
Symbol: T Exchange: NYSE								
EAI: \$360 Current yield: 5.34%	Feb 14, 03	100,000	22.550	2,255.00	33.710	3,371.00	1,116.00	LT
	Apr 8, 08	100,000	38.364	3,836.49	33.710	3,371.00	-465.49	LT
Security total		200,000	30.457	6,091.49		6,742.00	650.51	
AVON PRODUCTS INC								
Symbol: AVP Exchange: NYSE								
EAI: \$30 Current yield: 1.67%	Apr 8, 08	125,000	39.744	4,968.10	14.360	1,795.00	-3,173.10	LT
BANK OF AMER CORP								
Symbol: BAC Exchange: NYSE								
EAI: \$8 Current yield: 0.34%	Feb 14, 03	200,000	33.750	6,750.00	11.610	2,322.00	-4,428.00	LT
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI: \$112 Current yield: 2.85%	Nov 6, 97	200,000	9.430	1,886.11	19.649	3,929.80	2,043.69	LT
CITIGROUP INC								
Symbol: C Exchange: NYSE								
EAI: \$1 Current yield: 0.08%	Jul 23, 99	20,000	338.209	6,764.18	39.560	791.20	-5,972.98	LT
	Feb 14, 03	10,000	318.300	3,183.00	39.560	395.60	-2,787.40	LT
Security total		30,000	331.573	9,947.18		1,186.80	-8,760.38	
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAI: \$204 Current yield: 2.81%	May 23, 06	200,000	21.793	4,358.75	36.250	7,250.00	2,891.25	LT
CONOCOPHILLIPS								
Symbol: COP Exchange: NYSE								
EAI: \$528 Current yield: 4.55%	Oct 23, 01	92,000	21.156	1,946.41	57.990	5,335.08	3,388.67	LT
	Feb 14, 03	108,000	18.265	1,972.68	57.990	6,262.92	4,290.24	LT
Security total		200,000	19.595	3,919.09		11,598.00	7,678.91	
CONS EDISON CO (HOLDING CO)								
Symbol: ED Exchange: NYSE								
EAI: \$242 Current yield: 4.36%	Feb 14, 03	100,000	38.250	3,825.00	55.540	5,554.00	1,729.00	LT
CVS CAREMARK CORP								
Symbol: CVS Exchange: NYSE								
EAI: \$301 Current yield: 1.86%	Feb 23, 04	334,000	19.098	6,379.00	48.350	16,148.90	9,769.90	LT

continued next page



Resource Management Account
December 2012

Account name:
Account number:

WILLIAM R. HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DELL INC								
Symbol: DELL Exchange: OTC								
EAI: \$64 Current yield: 3.16%	Feb 14, 03	200 000	24.590	4,918.00	10.140	2,028.00	-2,890.00	LT
DOMINION RESOURCES INC VA (NEW)								
Symbol: D Exchange: NYSE								
EAI: \$211 Current yield: 4.07%	Feb 14, 03	100 000	26.920	2,692.00	51.800	5,180.00	2,488.00	LT
EXXON MOBIL CORP								
Symbol: XOM Exchange: NYSE								
EAI: \$456 Current yield: 2.63%	Nov 6, 97	200 000	28.032	5,606.56	86.550	17,310.00	11,703.44	LT
GENL ELECTRIC CO								
Symbol: GE Exchange: NYSE								
EAI: \$152 Current yield: 3.62%	Nov 6, 97	200 000	22.963	4,592.67	20.990	4,198.00	-394.67	LT
GLAXO SMITHKLINE PLC ADR								
Symbol: GSK Exchange: NYSE								
EAI: \$348 Current yield: 5.34%	Jun 4, 04	150 000	42.213	6,332.00	43.470	6,520.50	188.50	LT
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAI: \$290 Current yield: 1.88%	Jul 23, 99	150 000	43.301	6,495.25	61.850	9,277.50	2,782.25	LT
	Jun 11, 01	100 000	51.000	5,100.00	61.850	6,185.00	1,085.00	LT
		250 000	46.381	11,595.25		15,462.50	3,867.25	
Security total								
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: \$244 Current yield: 3.48%	Oct 23, 01	100 000	58.960	5,896.00	70.100	7,010.00	1,114.00	LT
JOY GLOBAL INC								
Symbol: JOY Exchange: NYSE								
EAI: \$70 Current yield: 1.10%	Apr 8, 08	100 000	69.817	6,981.75	63.780	6,378.00	-603.75	LT
MEDTRONIC INC								
Symbol: MDT Exchange: NYSE								
EAI: \$208 Current yield: 2.54%	Oct 23, 01	100 000	43.360	4,336.00	41.020	4,102.00	-234.00	LT
	Feb 14, 03	100 000	43.170	4,317.00	41.020	4,102.00	-215.00	LT
		200 000	43.265	8,653.00		8,204.00	-449.00	
Security total								

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Resource Management Account
December 2012

Account name:
Account number:

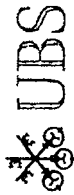
WILLIAM R. HAUKE JR AND
HU 10178 AK

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802-863-8430/800-821-1272

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
METLIFE INC								
Symbol: MET Exchange: NYSE								
EAI: \$101 Current yield: 2.24%	Oct 29, 04	137,000	38.300	5,247.10	32.940	4,512.78	-734.32	LT
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAI: \$552 Current yield: 3.44%	Jun 11, 01	200,000	35.915	7,183.00	26.709	5,341.80	-1,841.20	LT
	Feb 14, 03	400,000	23.650	9,460.00	26.709	10,683.60	1,223.60	LT
Security total		600,000	27.738	16,643.00		16,025.40	-617.60	
NOKIA CORP SPONS ADR FINLAND								
ADR								
Symbol: NOK Exchange: NYSE								
EAI: \$40 Current yield: 4.50%	May 23, 06	225,000	21.587	4,857.19	3.950	888.75	-3,968.44	LT
PEPSICO INC								
Symbol: PEP Exchange: NYSE								
EAI: \$430 Current yield: 3.14%	Nov 16, 99	200,000	33.140	6,628.00	68.430	13,686.00	7,058.00	LT
PFIZER INC								
Symbol: PFE Exchange: NYSE								
EAI: \$365 Current yield: 3.83%	Nov 6, 97	280,000	29.773	8,336.44	25.079	7,022.12	-1,314.32	LT
	Feb 14, 03	100,000	28.560	2,856.00	25.079	2,507.90	-348.10	LT
Security total		380,000	29.454	11,192.44		9,530.02	-1,662.42	
PHILIPS 66								
Symbol: PSX Exchange: NYSE								
EAI: \$100 Current yield: 1.88%	Oct 23, 01	46,000	13.342	613.74	53.100	2,442.60	1,828.86	LT
	Feb 14, 03	54,000	11.518	622.02	53.100	2,867.40	2,245.38	LT
Security total		100,000	12.358	1,235.76		5,310.00	4,074.24	
POTASH CORP SASK INC CANADA								
CAD								
Symbol: POT Exchange: NYSE								
EAI: \$63 Current yield: 2.06%	Apr 8, 08	75,000	58.412	4,380.94	40.690	3,051.75	-1,329.19	LT
CAD Exchange rate: 0.99570								
PRAXAIR INC								
Symbol: PX Exchange: NYSE								
EAI: \$220 Current yield: 2.01%	May 23, 06	100,000	54.267	5,426.75	109.450	10,945.00	5,518.25	LT

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Resource Management Account
December 2012

Account name:
Account number:

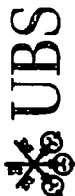
WILLIAM R. HAUKE JR AND
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802-863-8430/800-821-1272

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: S450 Current yield: 3.31%	Apr 29, 98	200 000	40.623	8,124.75	67.890	13,578.00	5,453.25	LT
QUALCOMM INC								
Symbol: QCOM Exchange: OTC								
EAI: S100 Current yield: 1.62%	May 23, 06	100 000	46.497	4,649.75	61.859	6,185.90	1,536.15	LT
TARGET CORP								
Symbol: TGT Exchange: NYSE								
EAI: S144 Current yield: 2.43%	Feb 14, 03	100 000	26.950	2,695.00	59.170	5,917.00	3,222.00	LT
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol: TEVA Exchange: OTC								
EAI: S141 Current yield: 2.16%	May 23, 06	175 000	36.871	6,452.56	37.340	6,534.50	81.94	LT
UNITED TECHNOLOGIES CORP								
Symbol: UTX Exchange: NYSE								
EAI: S428 Current yield: 2.61%	Oct 23, 01	200 000	26.585	5,317.00	82.010	16,402.00	11,085.00	LT
WAL MART STORES INC								
Symbol: WMT Exchange: NYSE								
EAI: S80 Current yield: 2.35%	Feb 14, 03	50 000	47.690	2,384.50	68.230	3,411.50	1,027.00	LT
WASTE MGMT INC NEW								
Symbol: WM Exchange: NYSE								
EAI: S213 Current yield: 4.21%	May 23, 06	150 000	36.837	5,525.63	33.740	5,061.00	-464.63	LT
WELLS FARGO & CO NEW								
Symbol: WFC Exchange: NYSE								
EAI: S352 Current yield: 2.57%	Nov 6, 97	400 000	16.300	6,520.00	34.180	13,672.00	7,152.00	LT
3M CO								
Symbol: MMM Exchange: NYSE								
EAI: S236 Current yield: 2.54%	Oct 23, 01	100 000	53.185	5,318.50	92.850	9,285.00	3,966.50	LT
Total				\$228,606.95		\$303,399.60	\$74,792.65	
Total estimated annual income: \$8,460								





Resource Management Account
December 2012

Account name:
Account number:

WILLIAM R. HAUKE JR. AND
HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • Equities (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

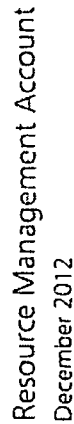
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
COLUMBIA CONVERTIBLE									
SECURITIES FUND CLASS Z									
Symbol: NCIA	1,132,000	---This information was unavailable---		19,921.12	15,000	16,980.00			
Total reinvested	59,521	14,279		849.95	15,000	892.81	42.86		
EAI \$578 Current yield: 3.23%									
Security total	1,191,521	14,280		849.95		17,872.81	42.86		
COLUMBIA MARSICO INTL									
OPPORTUNITIES FUND									
CLASS Z									
Symbol: NMOAX	4,147,000	---This information was unavailable---		62,495.28	11,920	49,432.24			
COLUMBIA MARSICO									
21ST CENTURY Z									
Symbol: NMYAX	3,366,000	---This information was unavailable---		43,657.02	13,640	45,912.24			
Total reinvested	7,566	13,490		102.07	13,640	103.20	1.13		
EAI \$101 Current yield: 0.22%									
Security total	3,373,566	13,491		102.07		46,015.44	1.13		
COLUMBIA REAL ESTATE									
EQUITY FUND-Z									
Symbol: CREEX	224,000	---This information was unavailable---		3,771.25	14,370	3,218.88			
Total reinvested	16,584	13,052		216.47	14,370	238.31	21.84		
EAI \$71 Current yield: 2.05%									

continued next page



Account name:
Account number:

WILLIAM R. HAUKE JR. AND
HJ 10178 AK

Your Financial Advisor
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec. 31 (5)	Value on Dec. 31 (5)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Security total	240,584	13.053		216.47		3,457.19	21.84		
COLUMBIA SMALLCAP CORE									
FUND-Z									
Symbol: SMCEX									
Total reinvested	2,972,000			44,996.08	16.240	48,265.28			
EAI \$320 Current yield 0.62%	229,933	15.286		3,514.77	16.240	3,734.11	219.34		
Security total	3,201,933	15.286		3,514.77		51,999.39	219.34		
Total			\$0.00	\$4,683.26		\$168,777.07	\$285.17	\$0.00	
Total estimated annual income: \$1,070									

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax

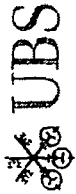
Exception to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SOUTHRUST CORP NTS								
SUB DEB								
RATE 05.800% MATURES 06/15/14								
ACCRUED INTEREST \$128.89								
CUSIP 844730AG6								
Moody A3 S&P A								
EAL \$2.900 Current yield 5.44%								
50,000.00			49,734.00		106.561	53,280.50		
---This information was								

MISSING BASIS

19,921	12	+
62,495	28	+
43,657	02	+
44,996	08	+
49,734	00	+

220,803	50	+



Resource Management Account
December 2012

Account name
Account number

WILLIAM R. HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • Fixed income (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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COLUMBIA INCOME

OPPORTUNITIES FUND CLASS

Z

Symbol CIOZX

COST BASIS ADJUSTED AS
PART OF A/E #1

Total reinvested

EAL \$1,230 Current yield 5.57%

Security total

1,972 000

217 763

2,189 763

10 080

10 080

19,877 76

2,195 05

126 04

126 04

126 04

Your total assets

MISSING COST BASIS 20,000 00 +
BELOW 2,069 01 +
TOTAL FIXED 22,069 01 +
Value on Dec 31 2012

ACCT #1300

Cash	Cash and money balances	251,096.08	31.44%	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	Common stock	303,399.60		251,096.08	8,460.00	74,792.65
	Mutual funds	168,777.07		228,606.95	1,070.00	285.17
	Total equities	472,176.67	59.11%	4,683.26	9,530.00	75,077.82
Fixed income	Corporate bonds and notes	53,280.50		233,290.21	2,900.00	126.04
	Mutual funds	22,072.81		2,069.01	1,230.00	126.04
	Total accrued interest	128.89		2,069.01	4,130.00	126.04
	Total fixed income	75,482.20	9.45%	2,069.01	\$13,660.00	\$75,203.86
Total		\$798,754.95	100.00%	\$486,455.30		
				2700.20		

* Missing cost basis information

MISSING COST BASIS 220,803 50 +
ABOVE 233,290 21 +
TOTAL EQUITIES 454,093 71 +

ACT #1200

REIT MISSING ABOVE - SEE
PG 10 OF THIS PDF
\$3,987.72

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
UBS	18,068.	1,287.	16,781.	
TOTAL TO FM 990-PF, PART I, LN 4	18,068.	1,287.	16,781.	

FORM 990-PF	OTHER INCOME		STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
MISCELLANEOUS	299.	299.		
TOTAL TO FORM 990-PF, PART I, LINE 11	299.	299.		

FORM 990-PF	ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BILODEAU WELLS AND COMPANY	2,881.	2,881.		0.	
UBS	150.	150.		0.	
TO FORM 990-PF, PG 1, LN 16B	3,031.	3,031.		0.	

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX PAID	125.	125.		0.	
TO FORM 990-PF, PG 1, LN 18	125.	125.		0.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED DETAIL	457,865.	494,250.
TOTAL TO FORM 990-PF, PART II, LINE 10B	457,865.	494,250.

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED DETAIL	22,069.	53,409.
TOTAL TO FORM 990-PF, PART II, LINE 10C	22,069.	53,409.
