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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

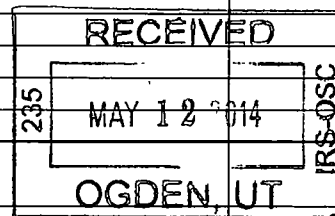
Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation WILLIAM & CAROLE HAUKE CHARITABLE FOUNDATION		A Employer identification number 65-6238507
Number and street (or P.O. box number if mail is not delivered to street address) 1385 COLCHESTER POINT RD.	Room/suite	B Telephone number 802-862-1985
City or town, state or province, country, and ZIP or foreign postal code COLCHESTER, VT 05446		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 859,580.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		15,949.	15,949.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		2,283.			
b Gross sales price for all assets on line 6a 7,201.					
7 Capital gain net income (from Part IV, line 2)			2,283.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		278.	278.		STATEMENT 2
12 Total Add lines 1 through 11		18,510.	18,510.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		2,571.	2,571.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		260.	260.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		2,831.	2,831.		0.
25 Contributions, gifts, grants paid		84,500.			84,500.
26 Total expenses and disbursements. Add lines 24 and 25		87,331.	2,831.		84,500.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-68,821.			
b Net investment income (if negative, enter -0-)			15,679.		
c Adjusted net income (if negative, enter -0-)				N/A	

 MAY 15 2014
 SCANNED
 Operating and Administrative Expenses


**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**

65-6238507

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	-1.		
	2 Savings and temporary cash investments	251,096.	178,741.	178,741.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	457,865.	410,227.	629,481.
	c Investments - corporate bonds STMT 6	22,069.	73,240.	51,358.
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		731,029.	662,208.	859,580.
17 Accounts payable and accrued expenses				
18 Grants payable				
Liabilities	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	731,029.	662,208.	
30 Total net assets or fund balances	731,029.	662,208.		
31 Total liabilities and net assets/fund balances	731,029.	662,208.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	731,029.
2 Enter amount from Part I, line 27a	2	-68,821.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	662,208.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	662,208.

**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**
Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a DELL INC 200.00 SHS			P	02/14/03	10/30/13
b CAPITAL GAINS DIVIDENDS					
c					
d					
e					

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,750.		4,918.	-2,168.
b 4,451.			4,451.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-2,168.
b			4,451.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	2,283.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	87,861.	823,895.	.106641
2011	47,322.	862,521.	.054865
2010	32,500.	854,668.	.038026
2009	44,800.	809,209.	.055363
2008	39,500.	926,082.	.042653

2 Total of line 1, column (d)	2	.297548
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059510
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	820,380.
5 Multiply line 4 by line 3	5	48,821.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	157.
7 Add lines 5 and 6	7	48,978.
8 Enter qualifying distributions from Part XII, line 4	8	84,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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CHARITABLE FOUNDATION

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	157.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	157.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	157.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	160.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	160.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 3. Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NONE	13	X	
14	The books are in care of ► WILLIAM R. HAUKE Telephone no. ► 516-229-0141 Located at ► 9950 SO. OCEAN BEACH DRIVE, JENSEN BEACH, FL ZIP+4 ► 34957			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM R. HAUKE JR 9950 SO. OCEAN BEACH DRIVE # 703 JENSEN BEACH, FL 34957	GRANTOR 0.00	0.	0.	0.
CAROLE A. HAUKE 9950 SO. OCEAN BEACH DRIVE # 703 JENSEN BEACH, FL 34957	GRANTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

**WILLIAM & CAROLE HAUKE
CHARITABLE FOUNDATION**

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	622,031.
b	Average of monthly cash balances	1b	210,842.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	832,873.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	832,873.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,493.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	820,380.
6	Minimum investment return. Enter 5% of line 5	6	41,019.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	41,019.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	157.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	157.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	40,862.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	40,862.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	40,862.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	84,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	84,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	157.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	84,343.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				40,862.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012	41,395.			
f Total of lines 3a through e	41,395.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	84,500.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				40,862.
e Remaining amount distributed out of corpus	43,638.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	85,033.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	85,033.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012	41,395.			
e Excess from 2013	43,638.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

WILLIAM & CAROLE HAUKE

CHARITABLE FOUNDATION

Form 990-PF (2013)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
DANA FARBER	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	22,500.
JDRF	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	12,000.
RICE MEMORIAL HIGH SCHOOL	NONE	PUBLIC CHARITY	FUND PROGRAM ACTIVITIES	50,000.
Total			▶ 3a	84,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities						15,949.
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						278.
8 Gain or (loss) from sales of assets other than inventory						2,283.
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		0.		18,510.
13 Total. Add line 12, columns (b), (d), and (e)					13	18,510.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/6/14
Date

GRANTOR
Title

May the IRS discuss this return with the preparer shown below (see instructions)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

DAVID G. SANTERRE,
CPA

Preparer's signature

DAVID G. SANTERRE

Date

05/05/14

Check ☐ if self-employed

PTIN

P00078830

Firm's name ► **BILODEAU, WELLS & CO. PC**

Firm's EIN ► 03-0293317

Firm's address ► P.O. BOX 687

ESSEX JUNCTION, VT 05453

Phone no. (802) 879-1117

Form **990-PF** (2013)

HAUKE CHARITABLE FOUNDATION
FEIN # 65-6238507
FORM 990-PF, PART X, LINES 1(a) and 1(b) DETAIL
YEAR ENDED 12/31/2013

DESCRIPTION	January 2013	February 2013	March 2013	April 2013	May 2013	June 2013	July 2013	August 2013	September 2013	October 2013	November 2013	December 2013	Average 2013
STOCKS (fair market value)	320,093	323,838	335,722	342,622	348,826	345,128	361,155	348,010	354,348	366,860	378,537	384,673	350,818
MUTUAL FUNDS FIXED (fair market value)	22,262	22,320	22,486	22,942	22,672	22,090	22,520	22,276	22,485	23,064	23,073	23,180	22,614
MUTUAL FUNDS EQUITY (fair market value)	176,900	177,603	182,906	184,855	190,069	187,246	196,641	194,106	206,843	212,012	217,571	221,628	195,698
TOTAL STOCK	519,255	523,761	541,114	550,419	561,567	554,464	580,316	564,392	583,676	601,936	619,181	629,481	569,130
BONDS (fair market value)	53,564	53,801	53,164	53,282	53,922	52,512	52,612	52,604	52,610	52,592	52,787	51,358	52,901
AVERAGE FAIR VALUE of SECURITIES FORM 990-PF, PART X, LINE 1(a)	572,819	577,562	594,278	603,701	615,489	606,976	632,928	616,996	636,286	654,528	671,968	680,839	622,031
CASH - PRINCIPAL ASSETS	251,096	251,096	251,096	251,096	251,096	251,096	251,096	251,096	251,096	251,096	251,096	251,096	251,096
CASH - INCOME ASSETS	372	876	2,469	3,041	3,554	7,590	7,990	8,515	10,349	13,531	14,063	23,034	7,949
CASH - DISBURSEMENTS	(101)	(207)	(423)	(522)	(616)	(66,126)	(66,218)	(81,320)	(89,067)	(89,165)	(89,273)	(95,389)	(48,202)
AVERAGE CASH BALANCE FORM 990-PF, PART X, LINE 1(b)	251,367	251,765	253,142	253,615	254,034	192,560	192,868	178,291	172,378	175,462	175,886	178,741	210,842
GRAND TOTALS	824,186	829,327	847,420	857,316	869,523	799,536	825,796	795,287	808,664	829,990	847,854	859,580	



Resource Management Account
December 2013

Account name:
Account number:

WILLIAM R HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.30	0.00					
UBS BANK USA DEP ACCT	175,885.07	178,740.77					250,000.00
Total	\$175,885.37	\$178,740.77					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol: ABT Exchange: NYSE								
EAI \$44 Current yield 2.30%	Apr 8, 08	50,000	25.929	1,296.47	38.330	1,916.50	620.03	LT
ABBVIE INC COM								
Symbol: ABBV Exchange: NYSE								
EAI \$80 Current yield 3.03%	Apr 8, 08	50,000	28.118	1,405.91	52.810	2,640.50	1,234.59	LT
AGILENT TECHNOLOGIES INC								
Symbol: A Exchange: NYSE								
EAI \$106 Current yield 0.93%	Oct 29, 04	200,000	25.130	5,026.00	57.190	11,438.00	6,412.00	LT
AIR PROD & CHEMICAL INC								
Symbol: APD Exchange: NYSE								
EAI \$355 Current yield 2.54%	Jun 4, 04	125,000	48.680	6,085.00	111.780	13,972.50	7,887.50	LT



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Resource Management Account
December 2013

Account name:
Account number:

WILLIAM R HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMGEN INC								
Symbol: AMGN Exchange: OTC								
EAI: \$244 Current yield: 2.14%	May 23, 06	100 000	68 027	6,802.75	114.080	11,408.00	4,605.25	LT
AT&T INC								
Symbol: T Exchange: NYSE								
EAI: \$368 Current yield: 5.23%	Feb 14, 03	100,000	22 550	2,255.00	35.160	3,516.00	1,261.00	LT
	Apr 8, 08	100,000	38.364	3,836.49	35.160	3,516.00	-320.49	LT
Security total		200 000	30.457	6,091.49		7,032.00	940.51	
AVON PRODUCTS INC								
Symbol: AVP Exchange: NYSE								
EAI: \$30 Current yield: 1.39%	Apr 8, 08	125 000	39.744	4,968.10	17.220	2,152.50	-2,815.60	LT
BANK OF AMER CORP								
Symbol: BAC Exchange: NYSE								
EAI: \$8 Current yield: 0.26%	Feb 14, 03	200 000	33.750	6,750.00	15.570	3,114.00	-3,636.00	LT
CISCO SYSTEMS INC								
Symbol: CSC Exchange: OTC								
EAI: \$136 Current yield: 3.03%	Nov 6, 97	200 000	9.430	1,886.11	22.430	4,486.00	2,599.89	LT
CITIGROUP INC								
Symbol: C Exchange: NYSE								
EAI: \$1 Current yield: 0.06%	Jul 23, 99	20 000	338.209	6,764.18	52.110	1,042.20	-5,721.98	LT
	Feb 14, 03	10,000	318.300	3,183.00	52.110	521.10	-2,661.90	LT
Security total		30 000	331.573	9,947.18		1,563.30	-8,383.88	
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAI: \$224 Current yield: 2.71%	May 23, 06	200 000	21.793	4,358.75	41.310	8,262.00	3,903.25	LT
CONOCOPHILLIPS								
Symbol: COP Exchange: NYSE								
EAI: \$552 Current yield: 3.91%	Oct 23, 01	92,000	21.156	1,946.41	70.650	6,499.80	4,553.39	LT
	Earnings	108,000	18.265	1,972.68	70.650	7,630.20	5,657.52	
Security total		200,000	19.595	3,919.09		14,130.00	10,210.91	
CONS EDISON CO (HOLDING CO)								
Symbol: ED Exchange: NYSE								
EAI: \$246 Current yield: 4.45%	Feb 14, 03	100 000	38.250	3,825.00	55.280	5,528.00	1,703.00	LT

continued next page



Resource Management Account
December 2013

Account name:
Account number:

WILLIAM R. HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CVS CAREMARK CORP								
Symbol: CVS Exchange: NYSE								
EAI: \$367 Current yield: 1.54%	Feb 23, 04	334,000	19,098	6,379.00	71,570	23,904.38	17,525.38	LT
DOMINION RESOURCES INC VA (NEW)								
Symbol: D Exchange: NYSE								
EAI: \$225 Current yield: 3.48%	Feb 14, 03	100,000	26,920	2,692.00	64,690	6,469.00	3,777.00	LT
EXXON MOBIL CORP								
Symbol: XOM Exchange: NYSE								
EAI: \$504 Current yield: 2.49%	Nov 6, 97	200,000	28,032	5,606.56	101,200	20,240.00	14,633.44	LT
GENL ELECTRIC CO								
Symbol: GE Exchange: NYSE								
EAI: \$176 Current yield: 3.14%	Nov 6, 97	200,000	22,963	4,592.67	28,030	5,606.00	1,013.33	LT
GLAXO SMITHKLINE PLC ADR								
Symbol: GSK Exchange: NYSE								
EAI: \$361 Current yield: 4.51%	Jun 4, 04	150,000	42,213	6,332.00	53,390	8,008.50	1,676.50	LT
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAI: \$390 Current yield: 1.89%	Jul 23, 99	150,000	43,301	6,495.25	82,340	12,351.00	5,855.75	LT
	Earnings	100,000	51,000	5,100.00	82,340	8,234.00	3,134.00	
Security total		250,000	46,381	11,595.25		20,585.00	8,989.75	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: \$264 Current yield: 2.88%	Oct 23, 01	100,000	58,960	5,896.00	91,590	9,159.00	3,263.00	LT
JOY GLOBAL INC								
Symbol: JOY Exchange: NYSE								
EAI: \$70 Current yield: 1.20%	Apr 8, 08	100,000	69,817	6,981.75	58,490	5,849.00	-1,132.75	LT
MEDTRONIC INC								
Symbol: MDT Exchange: NYSE								
EAI: \$224 Current yield: 1.95%	Oct 23, 01	100,000	43,360	4,336.00	57,390	5,739.00	1,403.00	LT
	Feb 14, 03	100,000	43,170	4,317.00	57,390	5,739.00	1,422.00	LT
Security total		200,000	43,265	8,653.00		11,478.00	2,825.00	

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Resource Management Account
December 2013

Account name:
Account number:

WILLIAM R. HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
METLIFE INC								
Symbol: MET Exchange: NYSE								
EAI: \$151 Current yield: 2.04%	Oct 29, 04	137,000	38.300	5,247.10	53.920	7,387.04	2,139.94	LT
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAI: \$672 Current yield: 2.99%	Jun 11, 01	200,000	35.915	7,183.00	37.410	7,482.00	299.00	LT
	Feb 14, 03	400,000	23.650	9,460.00	37.410	14,964.00	5,504.00	LT
Security total		600,000	27.738	16,643.00		22,446.00	5,803.00	
NOKIA CORP SPONS ADR FINLAND ADR								
Symbol: NOK Exchange: NYSE	May 23, 06	225,000	21.587	4,857.19	8.110	1,824.75	-3,032.44	LT
PEPSICO INC								
Symbol: PEP Exchange: NYSE								
EAI: \$454 Current yield: 2.74%	Nov 16, 99	200,000	33.140	6,628.00	82.940	16,588.00	9,960.00	LT
PRIZER INC								
Symbol: PFE Exchange: NYSE								
EAI: \$395 Current yield: 3.39%	Nov 6, 97	280,000	29.773	8,336.44	30.630	8,576.40	239.96	LT
	Feb 14, 03	100,000	28.560	2,856.00	30.630	3,063.00	207.00	LT
Security total		380,000	29.454	11,192.44		11,639.40	446.96	
PHILLIPS 66								
Symbol: PSX Exchange: NYSE								
EAI: \$156 Current yield: 2.02%	Oct 23, 01	46,000	13.342	613.74	77.130	3,547.98	2,934.24	LT
	Earnings	54,000	11.518	622.02	77.130	4,165.02	3,543.00	
Security total		100,000	12.358	1,235.76		7,713.00	6,477.24	
POTASH CORP SASK INC CANADA CAD								
Symbol: POT Exchange: NYSE								
EAI: \$105 Current yield: 4.25%	Apr 8, 08	75,000	58.412	4,380.94	32.960	2,472.00	-1,908.94	LT
CAD Exchange rate: 1.06250								
PRAXAIR INC								
Symbol: PX Exchange: NYSE								
EAI: \$240 Current yield: 1.85%	May 23, 06	100,000	54.267	5,426.75	130.030	13,003.00	7,576.25	LT
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: \$481 Current yield: 2.95%	Apr 29, 98	200,000	40.623	8,124.75	81.410	16,282.00	8,157.25	LT

continued next page



Resource Management Account
December 2013

Account name:
Account number:

WILLIAM R HAUKE JR AND
HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • **Equities** • **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI \$140 Current yield 1.89%	May 23, 06	100 000	46 497	4,649.75	74 250	7,425 00	2,775 25	LT
TARGET CORP								
Symbol TGT Exchange NYSE								
EAI \$172 Current yield 2.72%	Feb 14, 03	100 000	26 950	2,695.00	63 270	6,327 00	3,632 00	LT
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol TEVA Exchange OTC								
EAI \$190 Current yield 2.71%	May 23, 06	175 000	36 871	6,452 56	40 080	7,014 00	561 44	LT
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI \$472 Current yield 2.07%	Oct 23, 01	200 000	26 585	5,317 00	113 800	22,760 00	17,443 00	LT
WAL MART STORES INC								
Symbol WMT Exchange NYSE								
EAI \$94 Current yield 2.39%	Feb 14, 03	50 000	47 690	2,384 50	78 690	3,934 50	1,550 00	LT
WASTE MGMT INC NEW								
Symbol WM Exchange NYSE								
EAI \$219 Current yield 3.25%	May 23, 06	150 000	36 837	5,525 63	44 870	6,730 50	1,204 87	LT
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI \$480 Current yield 2.64%	Nov 6, 97	400 000	16 300	6,520 00	45 400	18,160 00	11,640 00	LT
3M CO								
Symbol MMM Exchange NYSE								
EAI \$342 Current yield 2.44%	Oct 23, 01	100 000	53 185	5,318 50	140 250	14,025 00	8,706 50	LT
Total				\$223,688.95		\$384,673.37	\$160,984.42	

Total estimated annual income: **\$9,738**

STOCKS





Resource Management Account
December 2013

Account name: WILLIAM R. HAUKE JR AND
Account number: HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • Equities (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

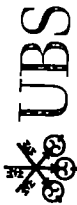
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
COLUMBIA CONVERTIBLE SECURITIES FUND CLASS Z									
Symbol: NCIX									
Trade date: Dec 22, 10	1,132,000	17,598	19,921.12	19,921.12	18,200	20,602.39	681.27		LT
Total reinvested	89,689	15,171		1,360.68	18,200	1,632.34	271.66		
EAI: \$519 Current yield: 2.33%									
Security total	1,221,689	17,420	19,921.12	21,281.80		22,234.73	952.93	2,313.61	
COLUMBIA MARSICO INTL OPPORTUNITIES FUND CLASS Z									
Symbol: NMOAX									
Trade date: Dec 11, 10	4,147,000	15,069	62,495.28	62,495.28	14,170	58,762.98	-3,732.30		LT
Total reinvested	58,676	12,350		724.65	14,170	831.44	106.79		
EAI: \$736 Current yield 1.24%									
Security total	4,205,676	15,032	62,495.28	63,219.93		59,594.42	-3,625.51	-2,900.86	
COLUMBIA MARSICO 21ST CENTURY Z									
Symbol: NMYAX									
Trade date: Dec 11, 10	3,366,000	12,970	43,657.02	43,657.02	19,430	65,401.37	21,744.35		LT
Total reinvested	7,566	13,490		102.07	19,430	147.01	44.94		
Security total	3,373,566	12,971	43,657.02	43,759.09		65,548.38	21,789.29	21,891.36	
COLUMBIA REAL ESTATE EQUITY FUND-Z									
Symbol: CREEX									
Trade date: Dec 11, 10	224,000	16,835	3,771.25	3,771.25	13,000	2,912.00	-859.25		LT

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Resource Management Account
December 2013

Account name: WILLIAM R. HAUKE JR. AND
Account number: HJ 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Total reinvested	41,667	13,234		551.45	13,000	541.67	-9.78		
EAI \$92 Current yield 2.66%									
Security total	265,667	16,271	3,771.25	4,322.70		3,453.67	-869.03	-317.58	
COLUMBIA SMALLCAP CORE FUND-Z									
Symbol: SMCEX									
Trade date: Dec 11, 10									
Total reinvested	2,972,000	15,140	44,996.08	44,996.08	20,340	60,450.47	15,454.39		LT
EAI \$143 Current yield 0.20%	508,665	17,611		8,958.41	20,340	10,346.25	1,387.84		
Security total	3,480,665	15,501	44,996.08	53,954.49		70,796.72	16,842.23	25,800.64	
Total			\$174,840.75	\$186,538.01		\$221,627.92	\$35,089.91	\$46,787.17	
Total estimated annual income: \$1,490									

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID) if you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SOUTHTRUST CORP NTS SUB DEB								
RATE 05 800% MATURES 06/15/14								
ACCURED INTEREST \$128.89								
CUSIP 844730AG6								
Moody: A3 S&P: A								
EAI \$1,450 Current yield 5.66%	Jan 10, 04	50,000,000	100,000	50,000.00	102,458	51,229.06	1,229.00	LT

51,229.06
1,229.00
51,357.89





Resource Management Account
December 2013

Account name:
Account number:
WILLIAM R. HAUKE JR AND
HU 10178 AK

Your Financial Advisor:
CATAMOUNT FINANCIAL ADVISORS
802-863-8430/800-821-1272

Your assets • Fixed income (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
COLUMBIA INCOME									
OPPORTUNITIES FUND CLASS									
Z									
Symbol: CIOZX									
Trade date: Dec 11, 10	1,972,000	10.141	20,000.00	20,000.00	10.050	19,818.60	-181.40		LT
Total reinvested	334,424	9.689		3,240.36	10.050	3,360.96	120.60		
EAI \$1.204 Current yield 5.19%									
Security total	2,306,424	10.076	20,000.00	23,240.36		23,179.56	-60.80	3,179.56	

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	178,740.77	20.79%	178,740.77		
Equities					
Common stock	384,673.37		223,688.95	9,738.00	160,984.42
Mutual funds	221,627.92		186,538.01	1,490.00	35,089.91
Total equities	606,301.29	70.54%	410,226.96	11,228.00	196,074.33
Fixed income					
Corporate bonds and notes	51,229.00		50,000.00	1,450.00	1,229.00
Mutual funds	23,179.56		23,240.36	1,204.00	-60.80
Total accrued interest	128.89				
Total fixed income	74,537.45	8.67%	73,240.36	2,654.00	1,168.20
Total	\$859,579.51	100.00%	\$662,208.09	\$13,882.00	\$197,242.53

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS	20,400.	4,451.	15,949.	15,949.	
TO PART I, LINE 4	20,400.	4,451.	15,949.	15,949.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS	278.	278.	
TOTAL TO FORM 990-PF, PART I, LINE 11	278.	278.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BILODEAU WELLS AND COMPANY	2,421.	2,421.		0.
UBS	150.	150.		0.
TO FORM 990-PF, PG 1, LN 16B	2,571.	2,571.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	183.	183.		0.
FEDERAL INCOME TAX	77.	77.		0.
TO FORM 990-PF, PG 1, LN 18	260.	260.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED DETAIL	410,227.	629,481.
TOTAL TO FORM 990-PF, PART II, LINE 10B	410,227.	629,481.

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED DETAIL	73,240.	51,358.
TOTAL TO FORM 990-PF, PART II, LINE 10C	73,240.	51,358.